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PCT REQUEST

ABD-001-PCT

Original (for SUBMISSION) - printed on 10.03.2000 03:46:26 PM

ō	For receiving Office use only	T	
0 0-1	International Application No.		
V-1	THE PROBLEM APPROAMON THE		
0-2	International Filing Date		
0-3	Name of receiving Office and "PCT		
	International Application"		
0-4	Form - PCT/RO/101 PCT Request		
0-4-1	Prepared using	PCT-EASY Version 2.90	
		(updated 15.12.1999)	
0-5	Petition		
	The undersigned requests that the present international application be		
	processed according to the Patent		
	Cooperation Treaty		
0-6	Receiving Office (specified by the applicant)	European Patent Office (EPO) (RO/EP)	
0-7	Applicant's or agent's file reference	ABD-001-PCT	
1	Title of invention	SESQUITERPENOID SYNTHASE GENES AND THEIR	
	1	USE FOR INFLUENCING BITTERNESS AND	
		RESISTANCE IN PLANTS	
II .	Applicant		
11-1	This person is:	applicant only	
11-2	Applicant for	all designated States	
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11-7	State of residence	NL	
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11-9	Facsimile No.	+31 317 423110	
III-1 III-1-1	Applicant and/or inventor This person is:		
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		Netherlands	
III-1-6	State of nationality	NL	
111-1-7	State of residence	NL	
	1	1474	



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PCT REQUEST

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()]-2)]]-2-1	Applicant and/or inventor This person is:	annilense and immontant	
111-2-2	Applicant for	applicant and inventor	
(11-2-4	Name (LAST, First)	US only	
III-2-5	Address:	KODDE, Jan	
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111-2-7	State of residence	NL	
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111-3-6	State of nationality	1	
111-3-7	State of residence	NL	
IV-1	Agent or common representative; or	NL	
IV-1	address for correspondence		
	The person identified below is	agent	
	hereby/has been appointed to act on behalf of the applicant(s) before the		
	competent International Authorities as:		
IV-1-1	Name (LAST, First)	DE CLERCQ, Ann	
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		Belgium	
IV-1-3	Telephone No.	+32 9 280 23 40	
IV-1-4	Facsimile No.	+32 9 280 23 45	
IV-1-5	e-mail	ann.declercq@pophost.eunet.be	
V	Designation of States		
V-1	Regional Patent (other kinds of protection or treatment, if	AP: GH GM KE LS MW SD SL SZ TZ UG ZW and	
	any, are specified between parentheses	any other State which is a Contracting	
	after the designation(s) concerned)	State of the Harare Protocol and of the	
		PCT	
		EA: AM AZ BY KG KZ MD RU TJ TM and any	
i		other State which is a Contracting State	
		of the Eurasian Patent Convention and of	
		the PCT	
		EP: AT BE CH&LI CY DE DK ES FI FR GB GR	
		IE IT LU MC NL PT SE and any other State	
		which is a Contracting State of the	
		European Patent Convention and of the	
		PCT	
,		OA: BF BJ CF CG CI CM GA GN GW ML MR NE	
		SN TD TG and any other State which is a	
		member State of OAPI and a Contracting	
		State of the PCT	

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PCT REQUEST

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Original (for SUBMISSION) - printed on 10.03.2000 03:46:26 PM ABD-001-PCT V-2 National Patent (other kinds of protection or treatment, ii AE AL AM AT AU AZ BA BB BG BR BY CA any, are specified between parentheses CH&LI CN CR CU CZ DE DK DM EE ES FI GB after the designation(s) concerned) GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW V-5 Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. V-6 Exclusion(s) from precautionary NONE designations Priority claim of earlier regional **VI-1** application VI-1-1 Filing date 12 March 1999 (12.03.1999) VI-1-2 Number 99870046.2 VI-1-3 Regional Office EP VI-2 Priority document request The receiving Office is requested to prepare and transmit to the international VI-1 Bureau a certified copy of the earlier application(s) identified above as item(s). International Searching Authority VII-1 European Patent Office (EPO) (ISA/EP) Chosen VII-2 Request to use results of earlier search; reference to that search VII-2-1 Date 06 September 1999 (06.09.1999) V#-2-2 Number EP 99870046.2 VII-2-3 Country (or regional Office) ΕP VIII Check list number of sheets VIII-1 Request electronic file(s) attached 4 VIII-2 Description 44 VIII-3 Claims 6 VIII-4 Abstract 1 VIII-5 Drawings abstract.txt 22 VIII-7 TOTAL 77 Accompanying items 8-IIIV paper document(s) attached Fee calculation sheet electronic file(s) attached VIII-16 PCT-EASY diskette



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PCT F	REQUEST	ABD-001-PCT
	Originai (for SU	BMISSION) - printed on 10.03.2000 03:46:26 PM
VIII-18	Figure of the drawings which should accompany the abstract	
VIII-19	Language of filing of the international application	English
IX-1	Signature of applicant or agent	delles
DC-1-1	Name (LAST, First)	DE CLERCQ, Ann
	FOR	RECEIVING OFFICE USE ONLY
10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	
	FOR INTE	ERNATIONAL BUREAU USE ONLY
11-1	Date of receipt of the record copy by the International Bureau	

INTERNATIONAL SEARCH REPORT

Int. onst Application No PCT/EP 00/02130

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER C12N15/82 C12N15/52 C12N9/10	A01H5/00		
1	Interpolanti Orbest Classification (IDC) as to both Tables I do a Section 1			
	International Patent Classification (IPC) or to both national classification	ation and IPC		
	currentation searched (classification system followed by classification	on symbole)		
IPC 7	C12N A01H	,		
Documentat	ion searched other than minimum documentation to the extent that s	such documents are included in the fields a	earched	
Electronic d	ata base consulted during the international search (name of data ba	se and, where practical, search terms used	1)	
EPO-In	ternal, WPI Data, PAJ, STRAND			
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.	
Υ	COLBY S M ET AL.: "Germacrene C from Lycopersicum esculentum cv. cherry tomato: cDNA isolation, characterization, and bacterial e of the multiple product sesquiter cyclase" PROCEEDING OF THE NATIONAL ACADEM SCIENCES OF THE USA, vol. 95, March 1998 (1998-03), pa 2216-2221, XPO02112685 abstract; figures 2-5 page 2217 page 2219, paragraph 4	VFNT expression rpene NY OF	1,10 2 -9	
	page 2220, paragraph 3 -page 2221 paragraph 1	·/		
X Funt	ner documents are listed in the continuation of box C.	Patent family members are listed	in annex.	
"Special categories of cited documents: "A" document defining the general state of the ant which is not considered to be of particular relevance "E" earlier document but published on or after the international fling date "L" document which may throw doubts on priority claim(e) or which is ofted to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published after the international invention invention or other means such combined with one or more other such document in the art. "A" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered novel or cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered to occument to particular relevance; the claimed invention cannot be considered novel or cannot be considered to occument to extend the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory underlying the claim to ched to understand the principle or theory u				
	actual completion of the international search	Date of mailing of the international sec	toger hose	
1	4 July 2000	21/07/2000		
Name and r	nelling address of the ISA European Patent Office, P.B. 5818 Patentiaen 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3018	Authorized officer Oderwald, H		

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant'	soraç	jent's file reference		
ABD-00			FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No.		lication No.	International filing date (day/month	Priority date (day/month/year)
PCT/EP00/02130		2130	10/03/2000	12/03/1999
C12N15	ial Pat /82	ent Classification (IPC) or na	lional classification and IPC	
Applicant RESEA	СН	INSTITUTE FOR AGRO	DBIOLOGY AND SOIL FERTI	1L
1. This and i	intern s tran	ational preliminary exami smitted to the applicant a	nation report has been prepared ocording to Article 36.	by this International Preliminary Examining Authority
2. This	REPO	ORT consists of a total of	10 sheets, including this cover s	sheet.
Đ	een a	amended and are the basi	by ANNEXES, i.e. sheets of the is for this report and/or sheets co 7 of the Administrative Instructio	e description, claims and/or drawings which have ontaining rectifications made before this Authority ons under the PCT).
These	ann	exes consist of a total of	sheets.	
3. This r	eport	contains indications relat	ing to the following items:	
ŀ	\boxtimes	Basis of the report		
U		Priority		
111	\boxtimes			entive step and industrial applicability
I۸	×	Lack of unity of inventior		
٧	×	Reasoned statement und citations and explanation	der Article 35(2) with regard to no as suporting such statement	novelty, inventive step or industrial applicability;
VI		Certain documents cited	1	
VII		Certain defects in the int	ernational application	
VIII	×	Certain observations on	the international application	
Date of sub	nissio	n of the demand	Date of co	ompletion of this report
05/10/2000			01.06.200	01
	examir	address of the international ning authority:	Authorized	d officer
European Patent Office 0-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 e			Marinon	ıl, J-C
	, CIA	+49 89 2399 - 4465	Telephone	e No. +49 89 2399 8563

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02130

Į.	Ва	sis of th	report			
1.	With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages:					
	1-4	14	as originally filed			
	Cla	aims, No.:				
	1-3	9	as originally filed			
	Dra	awings, sh	pets:			
	1/2	2-22/22	as originally filed			
	Sec	quence list	ing part of the description, pages:			
	1-1	1, filed with	the demand			
2.	With regard to the language , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.					
	These elements were available or furnished to this Authority in the following language: , which is:					
		the langua	ge of a translation furnished for the purposes of the international search (under Rule 23.1(b)).			
		the langua	ge of publication of the international application (under Rule 48.3(b)).			
		the langua 55.2 and/o	ige of a translation furnished for the purposes of international preliminary examination (under Rule or 55.3).			
3.			any nucleotide and/or amino acid sequence disclosed in the international application, the reliminary examination was carried out on the basis of the sequence listing:			
		contained	in the international application in written form.			
		filed toget	ner with the international application in computer readable form.			
	×	furnished :	subsequently to this Authority in written form.			
	×		subsequently to this Authority in computer readable form.			
	Ø		nent that the subsequently furnished written sequence listing does not go beyond the disclosure in tional application as filed has been furnished.			

Make the information recorded in computer readable form is identical to the written sequence

4. The amendments have resulted in the cancellation of:

listing has been furnished.



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02130

		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:
5	. 🗆	This report has been considered to go bey	established as if (some of) the amendments had not been made, since they have been rond the disclosure as filed (Rule 70.2(c)):
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this
6	. Ad	ditional observations, i	f necessary:
118	l. No	n-establishment of o	pinion with regard to novelty, inventive step and industrial applicability
1.	. The	e questions whether the rious), or to be industri	e claimed invention appears to be novel, to involve an inventive step (to be non- ally applicable have not been examined in respect of:
		the entire internation	al application.
	Ø	claims Nos. 16, 21, 2	2, 30-36, 39 (all partially).
be	ecaus	se:	
		the said international not require an interna	application, or the said claims Nos. relate to the following subject matter which do s tional preliminary examination (specify):
		the description, claim that no meaningful op	s or drawings (indicate particular elements below) or said claims Nos. are so unclear inion could be formed (specify):
	×	the claims, or said cla description that no me	ims Nos. 16, 21, 22, 30-36, 39 (all partially) are so inadequately supported by the eaningful opinion could be formed.
		no international searc	h report has been established for the said claims Nos
2.	and	eaningful international for amino acid sequent ructions:	preliminary examination cannot be carried out due to the failure of the nucleotide ce listing to comply with the standard provided for in Annex C of the Administrative
		the written form has n	ot been furnished or does not comply with the standard.
			e form has not been furnished or does not comply with the standard.
	_	computer readable	to the first been runnished of does not comply with the standard.

IV. Lack of unity of invention

1. In response to the invitation to restrict or pay additional fees the applicant has:

knobbe altman

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02130

		restricted the claims.					
	paid additional fees.						
		paid additional fees un-	der prot	est.			
		neither restricted nor pa	aid addi	tional fee	9S.		
2.		This Authority found the	at the re pplicant	quiremer to restric	nt of unity of invention is not complied and chose, according to Rule of or pay additional fees.		
3.	This	s Authority considers tha	it the re	quiremen	nt of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is		
		complied with.					
	Ø	not complied with for th see separate sheet	e follow	ing reaso	ons:		
4.	. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:				rnational application were the subject of international preliminary		
	×	all parts.					
		the parts relating to clai	ms Nos				
	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement						
••		elty (N)	Yes: No:		2-32, 37-39 1, 33-36		
	Inve	ntive step (IS)	Yes: No:	Claims Claims	25-27 1-24, 28-32, 37-39		
	Indu	strial applicability (IA)	Yes: No:	Claims Claims	,		

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP00/02130

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

- 1. Claim 1 is directed to nucleic acids defined by the fact that they encode "a protein or a polypeptide having the biological activity of a germacrene A synthase". Such a wording unduly tries to expand the scope of the claim to
 - undefined proteins not related to the proteins of SEQ ID No 7 or 8 of the present application and having germacrene A synthase activity,
 - but also to proteins which would possess an enzymatic activity (but not (ii) germacrene A synthase activity) which would also be possibly displayed by proteins having germacrene A synthase activity.

Such proteins are not sufficientally disclosed (Articles 5 and 6 PCT).

2. Claim 21 refers to a DNA encoding a RNA or protein which "induces, increases or decreases the expression of germacrene A synthase". The wording of the claim partially comprises the DNAs of SEQ ID No 3 and 4 and the complementary sequences thereof for which support can be found (or derived) from the application as filed. However the wording of the claim also includes those unknown/undefined proteins (and DNAs and RNAs encoding them) which alter in vivo the expression of germacrene synthase: the provision of such proteins (and the corresponding DNAs or RNAs) is not supported by the description and thus not clear (Article 6 PCT). Consequently, the characterization of said proteins imposes an undue burden on the skilled person wanting to put the invention into practive over its entire range, i.e. the invention is not sufficiently disclosed (Article 5 PCT).

The same applies to claims 22 and 30-36 also partially.

The same objection applies mutatis mutandis to the subject-matter of claim 16 partially.

Claim 39 is partially directed to a process for producing a plant with reduced 3. bittemess comprising reducing the production of a sesquiterpenoid lactone derived from germacrene A. This wording does not exclude that the function of (unknown or unforessen) enzymes of the metabolic pathway of said undefined

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP00/02130

sesquiterpenoid lactones could be altered. However, these enzymes are not defined (no support by the description, Article 5 PCT) and therefore this part of claim 39 is not sufficiently disclosed, contrary to Article 5 PCT.

Re Item IV

Lack of unity of invention

- 1. The separate inventions/groups of invention are:
 - the DNA of SEQ ID No 3 and the polypeptide of SEQ ID No 7, methods and processes, plants, probes and primers, etc... related thereto (claims 1-39 all partially).
 - the DNA of SEQ ID No 4 and the polypeptide of SEQ ID No 8, methods and (ii) processes, plants, probes and primers, etc... related thereto (claims 1-39 all partially).
- 2. They are not so linked as to form a single general inventive concept (Rule 13.1 PCT) for the following reasons:
 - (a) The subject-matter of independent claim 1 is already known (see the grounds for this objection).
 - The subject-matter of claims 1-10 is not inventive (see the grounds for this (b) objection).
 - The technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT (here a nucleic acid encoding a protein having germacrene A synthase activity) between the subject-matter of the indentified groups of inventions (i) and (ii) is neither new nor inventive.

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
 - D1: COLBYet al. 'Germacrene C synthase from Lycopersicum esculentum cv. VFNT cherry tomato: cDNA isolation, characterization, and bacterial expression of the multiple product sesquiterpene cyclase', PROC. NATL.

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International application No. PCT/EP00/02130

EXAMINATION REPORT - SEPARATE SHEET

ACAD. SCI. USA, Vol. 95, March 1998, pages 2216-2221

- D2: DE KRAKER et al. '(+)-Germacrene A biosynthesis: The committed step in the biosynthesis of bitter sesquiterpene lactones in chicory', PLANT PHYSIOLOGY, Vol. 117, No. 4, pages 1381-1392
- 2. Claim 1 is directed to a nucleic acid sequence encoding a polypeptide having the biological activity of a germacrene A synthase (see Item VIII-1). D1 discloses a cDNA encoding a protein having germacrene C and germacrene A synthase activity (see the abstract, lines 17-20; page 2219, right column, line 57). Therefore, the subject-matter of claim 1 does not meet the requirements of Article 33(2) PCT concerning novelty.
- 3. D2 discloses the purification of a protein of chicory that has germacrene A synthase activity. The purification to homogeneity, amino acid sequencing and cDNA cloning of the protein appear to be matters of common laboratory procedure which does not involve a particular prejudice. Therefore, the subject-matter of claim 1, and consequently of claims 2-10, does not meet the requiremenst of Article 33(3) PCT concerning inventive step.
- Claim 11 is directed to a process for producing a plant with reduced bitterness by 4. reducing the expression of an endogenous sesquiterpenoid synthase gene. The claim lacks essential technical features (see item VIII-4). D2 specifically links bitterness in plants (for example chicory, see page 1381, left column, line 4) with germacrene A synthesis. Upon reading D2, it is obvious to the skilled person that the reduction of germacrene A synthase expression in plants would reduce bittemess, since the accumulation of germacrene A or its derivatives is the identified cause of bitterness in some plants. In view of reducing bitterness in plants, the skilled person would use a gene construct which, upon expression in a plant, decreases the expression of germacrene A synthase, said gene construct being based upon (part(s) of) the complementary sequence of the gene encoding the protein of D2.

Therefore, the subject-matter of claims 11-15 does not meet the requirements of Article 33(3) PCT concerning inventive step.

The same objection applies to the subject-matter of claim 39.

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5. Claim 16 is directed to a process for producing a plant with increased pest resistance.

, PHONIS A

The claim lacks essential technical features (see item VIII-5). Sesquiterpenoid-based plant defence is known from the art (see D1, page 2216, right column, lines 5-14; page 2221, left column, last 5 lines). Therefore, upon reading D1, the skilled person would introduce the gene of D1 or the gene encoding the protein of D2 into plants in order to (possibly) obtain plants with increased resistance to pests.

Therefore, the subject-matter of claims 16-20 does not meet the requirements of Article 33(3) PCT concerning inventive step.

- Claim 21 is directed to a "recombinant polynucleic acid" (see items VIII-6). In 6. view of obtaining the plants by the process of claims 11-15 or 16-20, the skilled person would, without the exercise of inventive skills put the gene encoding the proteins of D1 or D2 or their complement under the control of a plant promoter. Therefore, the subject-matter of claims 21, but also claims 22-24 and 28-32 does not meet the requirements of Article 33(3) PCT concerning inventive step.
- An inventive step is acknowledged for those "recombinant polynucleic acids" 7. comprising parts of SEQ ID No 3 and parts of SEQ ID No 4. Therefore, the subject-matter of claims 25-27 meets the requirements of Article 33(2) PCT concerning novelty and the requirements of Article 33(3) PCT concerning inventive step. However, the objections under Item VIII-7 should be taken into consideration.
- Claim 33 is directed to a cell of a plant transformed with the recombinant 8. polynucleic acid of claim 21-32. The comment under item VIII-6 and 8 should be taken into consideration. When referring to the nucleic acid of claims 21-24 and 28-32, the subject-matter of claims 33-36 covers plants, cells and seeds which express "naturally" the germacrene synthase gene. Consequently, the subject-matter of claims 33-36 does not meet the requirements of Article 33(2) PCT concerning novelty.
- No inventive step can be acknowledged for probes and primers derived from 9. known or non-inventive genes.

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Therefore, the subject-matter of claims 37 and 38 does not meet the requirement of Article 33(3) PCT concerning inventive step.

Re Item VIII

Certain observations on the international application

- 1. Claim 1 does not meet the requirements of Article 6 PCT concerning clarity for the following reasons:
 - (i) the wording of the claim tries to define a product by the result to be achieved ("encoding a polypeptide having the biological activity of a germacrene A synthase") (see the Guidelines, Ch. III, 4.7).
 - (ii) the claim lacks essential technical features (contrary to Rule 6 PCT in combination with Article 6 PCT).
- Claim 2 refers to nucleic acid sequences encoding polypeptides having 70% protein <u>similarity</u> with the polypeptides having SEQ ID No. 7 ou 8.
 The figure "70%" is not supported by the description. Such a support must be of a technical character (i.e. examples/results of experimentation), not mere statements.
 - The same objection applies *mutatis mutandis* to **claims 3, 6, 7, 15, 18, 19, 23, 25, 27, 29**.
 - Furthermore, the term "similarity" cannot be used to define the relation existing between two <u>DNA</u> molecules (claims 6, 7, 15, 19, 23, 25, 27). The term "identity" should be used instead (on the term "similarity" in conjunction with protein sequences, see **item VIII-3**).
- 3. Claims 2, 3, 18 and 29 define a nucleic acid sequence as encoding a protein that has at least 70% similarity to another protein. The claims are not clear (Article 6 PCT) for the reasons that the term "similarity" does not designate a true identity but a relationship between two amino acids (for example, one distinguishes groups of basic amino acids (Arg, Lys) from hydrophobic amino acids (Met, IIe, Leu, Val, Phe, Trp, etc...) from acidic amino acids (Glu, Asp.)). Therefore this term expands the scope of the claim (i.e. the number of possible combinations) beyond the point where a meaningful opinion can be given.
- 4. Claim 11 lacks technical features which are considered to be essential for the

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International application No. PCT/EP00/02130

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EXAMINATION REPORT - SEPARATE SHEET

definition of the identified groups of inventions (Rule 6 PCT taken in combination with Article 6 PCT), viz. a link to the proteins of SEQ ID No. 7 and 8. The same objection applies mutatis mutandis to claims 12 and 39.

- 5. The objection under item VIII-4 applies also to claims 16 and 17. Furthermore, it is noted that no support of technical character appears in the description as filed for the claimed process. Consequently, the wording of claims 16-20 is merely considered as a recitation of a desired result to be achieved (Article 6 PCT; the Guidelines, Ch. III, 4.7).
- 6. It is noted that the mere specification that a nucleic acid is "recombinant" does not render it novel or inventive compared to the "natural" nucleic acids disclosed in the prior art. Similarly, a plant defined only by the fact that it contains a recombinant gene is not distinguishable from the "natural" plant.
- 7. The multiple possibilities arising from the wording of claims 25-27 (the conjunction of the provision of a nucleic acid sequence having 70% similarity with the use of the terms "or" and "and") renders the subject-matter for which protection is sought unclear. It appears that among the many combinations deriving from the wording of the claims, some at least are not supported by the description (Article 6 PCT) or unsufficiently disclosed (Article 5 PCT).
- Claim 33 defines a cell by the process used to obtain it ("transformed with..."). 8. Novelty can only be acknowledged if the claimed product is distinguishable from the other products of the prior art.

PCT

NOTIFICATION OF THE RECORDING **OF A CHANGE**

(PCT Rule 92bis.1 and

To:

DE CLERCQ, Ann De Clercq, Brants & Partners cv E. Gevaertdreef 10a

Administrative Instructions, Section 422)	B-9830 Sint-Martens-Latem BELGIQUE						
Date of mailing (day/month/year)	٦١						
19 September 2000 (19.09.00)							
Applicant's or agent's file reference							
ABD-001-PCT	IMPORTANT NOTIFICATION						
International application No.	International filing date (day/month/year)						
PCT/EP00/02130	10 March 2000 (10.03.00)						
The following indications appeared on record concerning:							
the applicant the inventor	X the agent the common representative						
Name and Address	State of Nationality State of Residence						
DE CLERCO, Ann Ann De Clercq & Co B.V.B.A.							
Brandstraat 100	Telephone No.						
B-9830 Sint-Martens-Latem Belgium	+32 9 280 23 40						
	Facsimile No.						
	+32 9 280 23 45						
	Teleprinter No.						
2. The International Bureau hereby notifies the applicant that the	he following change has been recorded concerning:						
the person the name X the add	and residence						
Name and Address	State of Nationality State of Residence						
DE CLERCO, Ann De Clercq, Brants & Partners cv							
E. Gevaertdreef 10a	Telephone No.						
B-9830 Sint-Martens-Latem Belgium	+32 9 280 23 40						
• •	Facsimile No.						
	+32 9 280 23 45						
	Teleprinter No.						
3. Further observations, if necessary:							
4. A copy of this notification has been sent to:	4. A copy of this notification has been sent to:						
X the receiving Office	X the designated Offices concerned						
the International Searching Authority	the elected Offices concerned						
the International Preliminary Examining Authority	other:						
The International Design Course	Authorized officer						
The International Bureau of WIPO 34, chemin des Colombettes	H. Zhou						
1211 Geneva 20, Switzerland	n. Ziiou						

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

PATENT COOPERATION TREAT'S

	From the INTERNATIONAL BUREAU	
PCT	То:	
NOTIFICATION OF ELECTION (PCT Rule 61.2) Date of mailing (day/month/year)	Commissioner US Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 ETATS-UNIS D'AMERIQUE	
31 October 2000 (31.10.00)	in its capacity as elected Office	
International application No. PCT/EP00/02130	Applicant's or agent's file reference ABD-001-PCT	
International filing date (day/month/year) 10 March 2000 (10.03.00)	Priority date (day/month/year) 12 March 1999 (12.03.99)	
Applicant		
BOUWMEESTER, Harro et al		
1. The designated Office is hereby notified of its election made. X In the demand filed with the International Preliminal	ry Examining Authority on: 000 (05.10.00) national Bureau on:	
The International Bureau of WIPO	Authorized officer	
34, chemin des Colombettes	F Raechler	

Facsimile No.: (41-22) 740.14.35 Form PCT/IB/331 (July 1992)

1211 Geneva 20, Switzerland

F. Baechler

Telephone No.: (41-22) 338.83.38

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.						
ABD-001-PCT International application No.	ACTION	L (Fasting) Briggith Data (day/manth/way)					
international application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)					
PCT/EP 00/02130	10/03/2000	12/03/1999					
Applicant							
_							
RESEARCH INSTITUTE FOR AG	ROBIOLOGY AND SOIL FERTIL						
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.							
This International Search Report consists [X] It is also accompanied by	of a total of3 sheets. a copy of each prior art document cited in this	report.					
Basis of the report							
	international search was carried out on the bas ess otherwise indicated under this item.	sis of the international application in the					
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of the	ne international application furnished to this					
was carried out on the basis of the	e sequence listing:	ternational application, the international search					
	contained in the international application in written form. filed together with the international application in computer readable form.						
furnished subsequently to this Authority in written form.							
furnished subsequently to this Authority in computer readble form.							
the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.							
X the statement that the info furnished	TX the statement that the information recorded in computer readable form is identical to the written sequence listing has been						
2. Certain claims were fou	nd unsearchable (See Box I).						
3. Unity of invention is lact	king (see Box II).						
4. With regard to the title ,							
the text is approved as su	bmitted by the applicant.						
the text has been establis	hed by this Authority to read as follows:						
5. With regard to the abstract,							
the text is approved as submitted by the applicant. the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.							
6. The figure of the drawings to be publi	shed with the abstract is Figure No.						
as suggested by the applic	cant.	X None of the figures.					
because the applicant faile	ed to suggest a figure.						
because this figure better	because this figure better characterizes the invention.						

			7 02 130							
A. CLASS IPC 7	C12N15/82 C12N15/52 C12N9/1	0 A01H5/00	_ _							
According (to International Patent Classification (IPC) or to both national classific	cation and IPC								
	SEARCHED									
Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N A01H										
	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched									
	data base consulted during the international search (name of data ba	ase and, where practical, search terms used	1)							
EPO-In	ternal, WPI Data, PAJ, STRAND									
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT									
Category °	Citation of document, with indication, where appropriate, of the rel	levant passages	Relevant to claim No.							
x 🗸	COLBY S M ET AL.: "Germacrene C from Lycopersicum esculentum cv. cherry tomato: cDNA isolation,	synthase VFNT	1,10							
	characterization, and bacterial e of the multiple product sesquiter									
	cyclase" PROCEEDING OF THE NATIONAL ACADEM SCIENCES OF THE USA,	*								
(vol. 95, March 1998 (1998-03), pa 2216-2221, XP002112685									
Y	abstract; figures 2-5 page 2217 page 2219, paragraph 4		2-9							
	page 2220, paragraph 3 -page 2221 paragraph 1	1,								
		-/								
	-	-/								
X Furt	her documents are listed in the continuation of box C.	Patent family members are listed	in annex.							
° Special ca	ategories of cited documents :	"T" later document published after the inte	mational filing date							
consid	ent defining the general state of the art which is not lered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or the invention	the application but							
"E" earlier o	document but published on or after the international date	"X" document of particular relevance; the cl cannot be considered novel or cannot								
which	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified)	involve an inventive step when the doc "Y" document of particular relevance; the cl	cument is taken alone laimed invention							
"O" docum	ent referring to an oral disclosure, use, exhibition or means	cannot be considered to involve an inv document is combined with one or mo ments, such combination being obviou	re other such docu-							
	ent published prior to the international filing date but han the priority date claimed	in the art. "&" document member of the same patent f	family							
Date of the	actual completion of the international search	Date of mailing of the international sea	irch report							
1	4 July 2000	21/07/2000								
Name and r	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer								
	NL - 2280 HV Rijswijk									
	Fax: (+31-70) 340-3016	Oderwald, H								

1

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	-
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y . /	DE KRAKER, JAN-WILLEM ET AL: "(+)-Germacrene A biosynthesis: The committed step in the biosynthesis of bitter sesquiterpene lactones in chicory." PLANT PHYSIOLOGY (ROCKVILLE), (AUG., 1998) VOL. 117, NO. 4, PP. 1381-1392., XP002112686 the whole document	2-9

1

PCT

INTERNATIONALER RECHERCHENBERICHT

(Artikel 18 sowie Regeln 43 und 44 PCT)

Aktenzeichen des Anmelders oder Anwalts	WEITERES	siehe Mitteilung über d	lie Übermittlung des internationalen							
IP 3554 PCT		Recherchenberichts (F zutreffend, nachstehen	ormblatt PCT/ISA/220) sowie, soweit							
Internationales Aktenzeichen	Internationales Anmelde		(Frühestes) Prioritätsdatum (Tag/Monat/Jahr)							
DOT /FD 00/01694	(Tag/Monat/Jahr)									
PCT/EP 00/01684	29/02/20	00	06/03/1999							
Anmelder	Anmelder									
AUDI AKTIENGESELLSCHAFT										
Dieser internationale Recherchenbericht wurd	e von der Internationalen I	Recherchenbehörde er	rstellt und wird dem Anmelder gemäß							
Artikel 18 übermittelt. Eine Kopie wird dem Inte	ernationalen Büro übermitl	telt.	-							
Dieser internationale Recherchenbericht umfal	~·····································	514								
		Blätter. em Bericht genannten	Unterlagen zum Stand der Technik bei.							
			Unterlagen zum Stand der Technik bei.							
Grundlage des Berichts										
 a. Hinsichtlich der Sprache ist die intern durchgeführt worden, in der sie einge 	nationale Recherche auf d	ler Grundlage der inter	nationalen Anmeldung in der Sprache							
Die internationale Recherche Anmeldung (Regel 23.1 b)) d) ist auf der Grundlage eine Jurchgeführt worden.	er bei der Behörde ein	gereichten Übersetzung der internationalen							
b. Hinsichtlich der in der internationalen	Anmeldung offenharten N	ducleotid- und/oder #	Aminosäuresequenz ist die internationale							
necherche auf der Grundlage des Se	equenzprotokolis durchgef	ührt worden, das	Milliosauresequenz ist die internationale							
in der internationalen Anmelo										
zusammen mit der internation			ereicht worden ist.							
bei der Behörde nachträglich bei der Behörde nachträglich										
Die Erklärung, daß das nacht	träglich eingereichte schrift	tliche Seguenzarotokol	Il night über den Offenbarungsgehalt des							
internationalen Anmeidung in	n Anmeidezeitpunkt hinaus	sgeht, wurde vorgelegt								
Die Erklärung, daß die in com wurde vorgelegt.	nputerlesbarer Form erfaßi	ten Informationen dem	schriftlichen Sequenzprotokoll entsprechen,							
2. Bestimmte Ansprüche habe	en sich als nicht recherc	hlerher erwiesen (siel	ha Eald I							
3. Mangelnde Einheitlichkeit d			ne Feld IJ.							
_ ·	(11).								
4. Hinsichtlich der Bezeichnung der Erfind	lung									
wird der vom Anmelder einge		ıt.								
wurde der Wortlaut von der Be	•									
5. Hinsichtlich der Zusammenfassung										
	roichta Mortlaut genehmig	.								
wurde der Wortlaut nach Rege	el 38.2b) in der in Feld III a	angegebenen Fassung	von der Behörde festgesetzt. Der							
Anmelder kann der Behörde in Recherchenberichts eine Stell	nnernaid eines Monats nac	ch dem Datum der Abs	sendung dieses internationalen							
6. Folgende Abbildung der Zelchnungen ist	_	a عند veröffentlichen: Al	bh Nr. 2a 2h							
X wie vom Anmelder vorgeschla		y 20 voi	keine der Abb.							
weil der Anmelder selbst keine	-	n hat	L Relife del ADD.							
weil diese Abbildung die Erfind	-									
	•									

A. KLASSIFIZIERUNG DES ANMELDUNGSGEGENSTANDES IPK 7 B21D26/02									
Nach der Internationalen Patentklassifikation (IPK) oder nach der nationalen Klassifikation und der IPK									
	RCHIERTE GEBIETE								
Recherchierter Mindestprüfstoff (Klassifikationssystem und Klassifikationssymbole) IPK 7 B21D B21C									
Recherchierte aber nicht zum Mindestprüfstoff gehörende Veröffentlichungen, soweit diese unter die recherchierten Gebiete fallen									
Während de	er internationalen Recherche konsultierte elektronische Datenbank (I	Name der Datenbank und evtl. verwendete	Suchbegriffe)						
C. ALS WE	ESENTLICH ANGESEHENE UNTERLAGEN								
Kategorie°	Bezeichnung der Veröffentlichung, soweit erforderlich unter Angab	oe der in Betracht kommenden Teile	Betr. Anspruch Nr.						
X	US 5 557 961 A (GENERAL MOTORS CORPORATION) 24. September 1996 (1996-09-24)		1,3						
Υ	Spalte 3, Zeile 63-67; Abbildung Spalte 4, Zeile 61 -Spalte 5, Ze Spalte 5, Zeile 33-38		2						
Υ	DE 14 52 646 A (FORD MOTOR CO) 27. März 1969 (1969-03-27) Abbildung 9		2						
	ere Veröffentlichungen sind der Fortsetzung von Feld C zu ehmen	X Siehe Anhang Patentfamilie							
"A" Veröffer	e Kategorien von angegebenen Veröffentlichungen : ntlichung, die den allgemeinen Stand der Technik definiert, icht als besonders bedeutsam anzusehen ist	"T" Spätere Veröffentlichung, die nach dem oder dem Prioritätsdatum veröffentlich Anmeldung nicht kollidiert, sondem nu	t worden ist und mit der r zum Verständnis des der						
"E" älteres l	Dokument, das jedoch erst am oder nach dem internationalen dedatum veröffentlicht worden ist	Erfindung zugrundeliegenden Prinzips Theorie angegeben ist	3 3						
"L" Veröffer	ntlichung, die geeignet ist, einen Prioritätsanspruch zweifelhaft er-	"X" Veröffentlichung von besonderer Bedeu kann allein aufgrund dieser Veröffentlich	chung nicht als neu oder auf						
andere	en zu lassen, oder durch die das Veröffentlichungsdatum einer en im Recherchenbericht genannten Veröffentlichung belegt werden er die aus einem anderen besonderen Grund angegeben ist (wie	erfinderischer Tätigkeit beruhend betra "Y" Veröffentlichung von besonderer Bedeu	ichtet werden ituna: die beanspruchte Erfinduna						
ausgef	ührt)	kann nicht als auf erfinderischer Tätigk werden, wenn die Veröffentlichung mit	eit beruhend betrachtet						
eine B	ntlichung, die sich auf eine mündliche Offenbarung, enutzung, eine Ausstellung oder andere Maßnahmen bezieht	Veröffentlichungen dieser Kategorie in diese Verbindung für einen Fachmann	Verbindung gebracht wird und						
	ntlichung, die vor dem internationalen Anmeldedatum, aber nach eanspruchten Prioritätsdatum veröffentlicht worden ist	"&" Veröffentlichung, die Mitglied derselben	Patentfamilie ist						
Datum des A	Abschlusses der internationalen Recherche	Absendedatum des internationalen Re	cherchenberichts						
12	2. Juli 2000	21/07/2000							
Name und P	ostanschrift der Internationalen Recherchenbehörde Europäisches Patentamt, P.B. 5818 Patentlaan 2	Bevollmächtigter Bediensteter							
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Ash. R							

	Info	on patent family memi	atent family members PO 1684			
Patent document cited in search report		Publication date	Patent family member(s)	Publication date		
US 5557961	Α	24-09-1996	NONE			
DE 1452646	Α	27-03-1969	NONE			

NOI

TENT COOPERATION TRE





INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or age	ent's file reference	<u> </u>		O N - 125 -	*				
ABD-001	-		FOR FURTHER AC	TION		tion of Transmittal of International Examination Report (Form PCT/IPEA/416)				
Internation	a) appl	ication No.	International filing date (d	day/month/	'year)	Priority date (day/month/year)				
PCT/EPO	0/02	130	10/03/2000			12/03/1999				
i .	International Patent Classification (IPC) or national classification and IPC C12N15/82									
Applicant										
RESEARCH INSTITUTE FOR AGROBIOLOGY AND SOIL FERTIL										
	 This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36. 									
2. This F	REPC	PRT consists of a total of	10 sheets, including thi	is cover s	heet.					
b	een a		is for this report and/or	sheets co	ontaining red	t, claims and/or drawings which have stifications made before this Authority PCT).				
These	e ann	exes consist of a total of	sheets.							
3. This r	eport	contains indications rela	ting to the following item	ns:						
ı	\boxtimes	Basis of the report								
11		Priority								
411	\boxtimes	Non-establishment of or	pinion with regard to no	velty, inve	entive step a	and industrial applicability				
١٧	\boxtimes	Lack of unity of inventio	1							
V	☒	Reasoned statement un citations and explanatio			ovelty, inver	ntive step or industrial applicability;				
VI		Certain documents cite	d							
VII		Certain defects in the in	ternational application							
VIII	\boxtimes	Certain observations on	the international applic	ation						
Date of sub	missic	n of the demand		Date of co	ompletion of the	nis report				
05/10/200	05/10/2000				01					
	exami	address of the international ning authority:		Authorize	d officer	SERVICO O AVENTAR				
<u></u>	D-80	pean Patent Office 298 Munich +49 89 2399 - 0 Tx: 523656	epmu đ	Marinor	ni, J-C	(transferred)				
	Fax: +49 89 2399 - 4465			Telephon	e No. +49 89	2399 8563				

International application No. PCT/EP00/02130

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

l. Basis	f the rep	rt
----------	-----------	----

1.	the an	e receiving Office in	ments of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" o this report since they do not contain amendments (Rules 70.16 and 70.17)):					
	1-4	14	as originally filed					
	Cla	aims, No.:						
	1-3	39	as originally filed					
	Dra	awings, sheets:						
	1/2	2-22/22	as originally filed					
	Sec	quence listing part	of the description, pages:					
	1-1	1, filed with the dem	nand					
2.	Wit lan	h regard to the lang guage in which the i	uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.					
	The	ese elements were a	available or furnished to this Authority in the following language: , which is:					
		the language of a t	translation furnished for the purposes of the international search (under Rule 23.1(b)).					
		the language of pu	blication of the international application (under Rule 48.3(b)).					
		the language of a t 55.2 and/or 55.3).	translation furnished for the purposes of international preliminary examination (under Rule					
3.	Witl inte	h regard to any nuc rnational preliminan	leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:					
		contained in the int	ernational application in written form.					
		filed together with the international application in computer readable form.						
	\boxtimes	furnished subseque	ently to this Authority in written form.					
	\boxtimes	furnished subseque	ently to this Authority in computer readable form.					
	☒	The statement that the international ap	the subsequently furnished written sequence listing does not go beyond the disclosure in oplication as filed has been furnished.					
	The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.							

4. The amendments have resulted in the cancellation of:

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02130

		the description,	pages:					
		the claims,	Nos.:					
		the drawings,	sheets:					
5. This report has been established as if (some of) the amendments had not been made, since they reconsidered to go beyond the disclosure as filed (Rule 70.2(c)):								
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this					
6.	Add	litional observations, i	i necessary:					
111	. Nor	n-establishment of o _l	pinion with regard to novelty, inventive step and industrial applicability					
1.	The obvi	questions whether th	e claimed invention appears to be novel, to involve an inventive step (to be non- ally applicable have not been examined in respect of:					
		the entire international	al application.					
	×	claims Nos. 16, 21, 2	2, 30-36, 39 (all partially).					
be	caus	e:						
		the said international not require an interna	application, or the said claims Nos. relate to the following subject matter which does tional preliminary examination (specify):					
		the description, claim that no meaningful op	s or drawings (indicate particular elements below) or said claims Nos. are so unclear inion could be formed (specify):					
	×	the claims, or said cla description that no me	ims Nos. 16, 21, 22, 30-36, 39 (all partially) are so inadequately supported by the eaningful opinion could be formed.					
		no international searc	h report has been established for the said claims Nos					
2.	and/	eaningful international or amino acid sequen uctions:	preliminary examination cannot be carried out due to the failure of the nucleotide ce listing to comply with the standard provided for in Annex C of the Administrative					
		the written form has n	ot been furnished or does not comply with the standard.					
			e form has not been furnished or does not comply with the standard.					

IV. Lack of unity of inventi n

1. In response to the invitation to restrict or pay additional fees the applicant has:

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

		restricted the claims.										
] paid additional fees.										
		paid additional fees under protest.										
		neither restricted nor p	aid add	itional fee	es.							
2.		This Authority found the	at the re	equirement to restric	ent of unity of invention is not complied and chose, according to Rule ict or pay additional fees.							
3.	This	s Authority considers tha	it the re	quiremen	nt of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is							
		complied with.										
	×	not complied with for th	e follow	ing reasc	ons:							
4.	Con	sequently, the following mination in establishing	parts o this rep	f the inter ort:	ernational application were the subject of international preliminary							
	×	all parts.										
		the parts relating to clai	ms Nos	s								
٧.	Rea	soned statement unde tions and explanations	r Articl	e 35(2) w orting suc	with regard to novelty, inventive step or industrial applicability;							
1.	Stat	ement										
	Nov	elty (N)	Yes: No:	Claims Claims	2-32, 37-39 5 1, 33-36							
	Inve	ntive step (IS)	Yes: No:	Claims Claims	25-27 1-24, 28-32, 37-39							
	Indu	strial applicability (IA)	Yes: No:	Claims Claims								
2.	Citat	tions and explanations										

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate she t

R It mill

Non- stablishm nt of opinion with regard to n v lty, inv ntiv step and industrial applicability

- Claim 1 is directed to nucleic acids defined by the fact that they encode "a protein 1. or a polypeptide having the biological activity of a germacrene A synthase". Such a wording unduly tries to expand the scope of the claim to
 - undefined proteins not related to the proteins of SEQ ID No 7 or 8 of the (i) present application and having germacrene A synthase activity.
 - but also to proteins which would possess an enzymatic activity (but not (ii) germacrene A synthase activity) which would also be possibly displayed by proteins having germacrene A synthase activity.

Such proteins are not sufficientally disclosed (Articles 5 and 6 PCT).

Claim 21 refers to a DNA encoding a RNA or protein which "induces, increases or 2. decreases the expression of germacrene A synthase". The wording of the claim partially comprises the DNAs of SEQ ID No 3 and 4 and the complementary sequences thereof for which support can be found (or derived) from the application as filed. However the wording of the claim also includes those unknown/undefined proteins (and DNAs and RNAs encoding them) which alter in vivo the expression of germacrene synthase: the provision of such proteins (and the corresponding DNAs or RNAs) is not supported by the description and thus not clear (Article 6 PCT). Consequently, the characterization of said proteins imposes an undue burden on the skilled person wanting to put the invention into practive over its entire range, i.e. the invention is not sufficiently disclosed (Article 5 PCT).

The same applies to claims 22 and 30-36 also partially.

The same objection applies mutatis mutandis to the subject-matter of claim 16 partially.

3. Claim 39 is partially directed to a process for producing a plant with reduced bitterness comprising reducing the production of a sesquiterpenoid lactone derived from germacrene A. This wording does not exclude that the function of (unknown or unforessen) enzymes of the metabolic pathway of said undefined

sesquiterpenoid lactones could be altered. However, these enzymes are not defined (no support by the description, Article 5 PCT) and therefore this part of

claim 39 is not sufficiently disclosed, contrary to Article 5 PCT.

Re Item IV

Lack of unity of invention

- 1. The separate inventions/groups of invention are:
 - the DNA of SEQ ID No 3 and the polypeptide of SEQ ID No 7, methods and processes, plants, probes and primers, etc... related thereto (claims 1-39 all partially).
 - the DNA of SEQ ID No 4 and the polypeptide of SEQ ID No 8, methods and (ii) processes, plants, probes and primers, etc... related thereto (claims 1-39 all partially).
- They are not so linked as to form a single general inventive concept (Rule 13.1 2. PCT) for the following reasons:
 - (a) The subject-matter of independent claim 1 is already known (see the grounds for this objection).
 - The subject-matter of claims 1-10 is not inventive (see the grounds for this (b) objection).
 - (c) The technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT (here a nucleic acid encoding a protein having germacrene A synthase activity) between the subject-matter of the indentified groups of inventions (i) and (ii) is neither new nor inventive.

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
 - D1: COLBYet al. 'Germacrene C synthase from Lycopersicum esculentum cv. VFNT cherry tomato: cDNA isolation, characterization, and bacterial expression of the multiple product sesquiterpene cyclase', PROC. NATL.

ACAD. SCI. USA, Vol. 95, March 1998, pages 2216-2221

- D2: DE KRAKER et al. '(+)-Germacrene A biosynthesis: The committed step in the biosynthesis of bitter sesquiterpene lactones in chicory', PLANT PHYSIOLOGY, Vol. 117, No. 4, pages 1381-1392
- 2. Claim 1 is directed to a nucleic acid sequence encoding a polypeptide having the biological activity of a germacrene A synthase (see Item VIII-1). D1 discloses a cDNA encoding a protein having germacrene C and germacrene A synthase activity (see the abstract, lines 17-20; page 2219, right column, line 57). Therefore, the subject-matter of claim 1 does not meet the requirements of Article 33(2) PCT concerning novelty.
- D2 discloses the purification of a protein of chicory that has germacrene A 3. synthase activity. The purification to homogeneity, amino acid sequencing and cDNA cloning of the protein appear to be matters of common laboratory procedure which does not involve a particular prejudice. Therefore, the subject-matter of claim 1, and consequently of claims 2-10, does not meet the requiremenst of Article 33(3) PCT concerning inventive step.
- Claim 11 is directed to a process for producing a plant with reduced bitterness by 4. reducing the expression of an endogenous sesquiterpenoid synthase gene. The claim lacks essential technical features (see item VIII-4). D2 specifically links bitterness in plants (for example chicory, see page 1381, left column, line 4) with germacrene A synthesis. Upon reading D2, it is obvious to the skilled person that the reduction of germacrene A synthase expression in plants would reduce bitterness, since the accumulation of germacrene A or its derivatives is the identified cause of bitterness in some plants. In view of reducing bitterness in plants, the skilled person would use a gene construct which, upon expression in a plant, decreases the expression of germacrene A synthase, said gene construct being based upon (part(s) of) the complementary sequence of the gene encoding the protein of D2. Therefore, the subject-matter of claims 11-15 does not meet the requirements of

The same objection applies to the subject-matter of claim 39.

Article 33(3) PCT concerning inventive step.

- 5. **Claim 16** is directed to a process for producing a plant with increased pest resistance.
 - The claim lacks essential technical features (see **item VIII-5**). Sesquiterpenoid-based plant defence is known from the art (see **D1**, page 2216, right column, lines 5-14; page 2221, left column, last 5 lines). Therefore, upon reading **D1**, the skilled person would introduce the gene of **D1** or the gene encoding the protein of **D2** into plants in order to (possibly) obtain plants with increased resistance to pests.
 - Therefore, the subject-matter of **claims 16-20** does not meet the requirements of Article 33(3) PCT concerning inventive step.
- 6. Claim 21 is directed to a "recombinant polynucleic acid" (see items VIII-6). In view of obtaining the plants by the process of claims 11-15 or 16-20, the skilled person would, without the exercise of inventive skills put the gene encoding the proteins of D1 or D2 or their complement under the control of a plant promoter. Therefore, the subject-matter of claims 21, but also claims 22-24 and 28-32 does not meet the requirements of Article 33(3) PCT concerning inventive step.
- 7. An inventive step is acknowledged for those "recombinant polynucleic acids" comprising parts of SEQ ID No 3 and parts of SEQ ID No 4.

 Therefore, the subject-matter of **claims 25-27** meets the requirements of Article 33(2) PCT concerning novelty and the requirements of Article 33(3) PCT concerning inventive step. However, the objections under **Item VIII-7** should be taken into consideration.
- 8. Claim 33 is directed to a cell of a plant transformed with the recombinant polynucleic acid of claim 21-32. The comment under item VIII-6 and 8 should be taken into consideration. When referring to the nucleic acid of claims 21-24 and 28-32, the subject-matter of claims 33-36 covers plants, cells and seeds which express "naturally" the germacrene synthase gene.

 Consequently, the subject-matter of claims 33-36 does not meet the requirements of Article 33(2) PCT concerning novelty.
- 9. No inventive step can be acknowledged for probes and primers derived from known or non-inventive genes.

EXAMINATION REPORT - SEPARATE SHEET

Therefore, the subject-matter of **claims 37 and 38** does not meet the requirement of Article 33(3) PCT concerning inventive step.

Re Item VIII

Certain observations on the international application

- Claim 1 does not meet the requirements of Article 6 PCT concerning clarity for the following reasons:
 - (i) the wording of the claim tries to define a product by the result to be achieved ("encoding a polypeptide having the biological activity of a germacrene A synthase") (see the Guidelines, Ch. III, 4.7).
 - (ii) the claim lacks essential technical features (contrary to Rule 6 PCT in combination with Article 6 PCT).
- 2. Claim 2 refers to nucleic acid sequences encoding polypeptides having 70% protein <u>similarity</u> with the polypeptides having SEQ ID No. 7 ou 8. The figure "70%" is not supported by the description. Such a support must be of a technical character (i.e. examples/results of experimentation), not mere statements.

The same objection applies *mutatis mutandis* to **claims 3, 6, 7, 15, 18, 19, 23, 25, 27, 29**.

Furthermore, the term "similarity" cannot be used to define the relation existing between two <u>DNA</u> molecules (**claims 6, 7, 15, 19, 23, 25, 27**). The term "identity" should be used instead (on the term "similarity" in conjunction with protein sequences, see **item VIII-3**).

- 3. Claims 2, 3, 18 and 29 define a nucleic acid sequence as encoding a protein that has at least 70% similarity to another protein. The claims are not clear (Article 6 PCT) for the reasons that the term "similarity" does not designate a true identity but a relationship between two amino acids (for example, one distinguishes groups of basic amino acids (Arg, Lys) from hydrophobic amino acids (Met, Ile, Leu, Val, Phe, Trp, etc...) from acidic amino acids (Glu, Asp.)). Therefore this term expands the scope of the claim (i.e. the number of possible combinations) beyond the point where a meaningful opinion can be given.
- 4. Claim 11 lacks technical features which are considered to be essential for the

definition of the identified groups of inventions (Rule 6 PCT taken in combination with Article 6 PCT), *viz.* a link to the proteins of SEQ ID No. 7 and 8. The same objection applies *mutatis mutandis* to **claims 12 and 39**.

- 5. The objection under **item VIII-4** applies also to **claims 16 and 17**. Furthermore, it is noted that no support of technical character appears in the description as filed for the claimed process. Consequently, the wording of **claims 16-20** is merely considered as a recitation of a desired result to be achieved (Article 6 PCT; the Guidelines, Ch. III, 4.7).
- 6. It is noted that the mere specification that a nucleic acid is "recombinant" does not render it novel or inventive compared to the "natural" nucleic acids disclosed in the prior art. Similarly, a plant defined only by the fact that it contains a recombinant gene is not distinguishable from the "natural" plant.
- 7. The multiple possibilities arising from the wording of claims 25-27 (the conjunction of the provision of a nucleic acid sequence having 70% similarity with the use of the terms "or" and "and") renders the subject-matter for which protection is sought unclear. It appears that among the many combinations deriving from the wording of the claims, some at least are not supported by the description (Article 6 PCT) or unsufficiently disclosed (Article 5 PCT).
- 8. Claim 33 defines a cell by the process used to obtain it ("transformed with...").

 Novelty can only be acknowledged if the claimed product is distinguishable from the other products of the prior art.



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(54) Title: SESQUITERPENOID SYNTHASE GENES AND THEIR USE FOR INFLUENCING BITTERNESS AND RESISTANCE IN **PLANTS**

(57) Abstract

This invention relates to the use of sesquiterpenoid synthase genes, particularly genes encoding germacrene A synthase, to modulate (i.e. repress, induce or increase) the expression or activity of sesquiterpenoid synthases in plants, so as to directly or indirectly influence taste, the production of sesquiterpene lactones, and/or resistance against insects, nematodes, micro-organisms and vertebrate herbivores in the plant.

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Title of the invention

Sesquiterpenoid synthase genes and their use for influencing bitterness and resistance in plants

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Field of the invention

This invention relates to the use of sesquiterpenoid synthase genes, particularly genes encoding germacrene A synthase, to modulate (i.e. repress, induce or increase) the expression or activity of sesquiterpenoid synthases in plants, so as to influence sesquiterpenoid production of these plants. More particularly, the invention is directed to the inhibition of sesquiterpenoid synthase expression, reducing the production sesquiterpene lactones in the plant. Particularly, in crops where these sesquiterpene lactones are associated with a bitter taste, such as chicory (Cichorium intybus L.), the invention can be used to obtain plants or plant parts that are less bitter. More particularly, this is achieved by reducing gene expression of germacrene A synthase by anti-sensing or co-suppression. Other aspects of this invention relate to the use of sesquiterpenoid synthase genes, more particularly genes encoding germacrene A synthase, to obtain increased resistance against insects, nematodes or micro-organisms in plants, to obtain increased formation of sesquiterpene lactones with attractive, e.g. medicinal, properties, and to obtain increased formation of germacrene A derived flavor and fragrance compounds or phytoalexins. This invention also relates to plant cells and plants transformed with one or more transgenes, which results in the modulation of activity of a sesquiterpenoid synthase therein.

30 All documents cited are incorporated herein by reference.

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Background of the Invention

The sprouts of chicory (*Cichorium intybus L.*), known as the Belgian endive, are characterized by their slightly bitter taste, which is a limiting factor to its commercial value as food crop. The taproots of chicory, which are even more bitter, were used in former days as a coffee substitute. Because of their bitterness these roots are not very well suited for use as cattle feed and are mainly regarded as a waste product of chicory cultivation. Chicory roots of specific varieties have been demonstrated to be an interesting source for inulin and/or high-fructose syrup for which special extraction procedures have been developed (Perschak and Wolfslehner, *Zuckerind*. **115**(6):466-470, 1990); nevertheless, production of fructose from chicory roots requires the removal of the bitter taste in the course of the extraction procedure.

The bitter constituents of chicory and other vegetables have been associated with sesquiterpene lactones, more particularly the guianolides lactucin, 8-deoxylactucin and lactupicrin (van Beek et al. *J. Agric. Food Chem.* 38: 1035-1038, 1990; Price et al. *J. Sci. Food Agric.* 53: 185-192, 1990). Other sesquiterpene lactones identified in chicory are eudesmanolides, and germacranolides (Seto et al., *Chem. Pharm. Bull.* 36:2423-2429,1988). The sesquiterpenoids belong to a very large family of plant products, the terpenoids, which have been associated with a variety of biological functions mainly related to plant-plant, plant-insect and plant-pathogen interactions. The production of terpenoids is based on a common biosynthetic pathway after which specific enzymes or synthases lead to the individual terpenoid structures.

The initial step of this pathway involves the fusion of three molecules of acetyl CoA to produce the C6 compound 3-hydroxy-3-methylglutaryl-CoA (HMG-CoA). HMG-CoA is reduced to mevalonate by HMG-reductase, and mevalonate is in turn phosphorylated by two kinases, mevalonate- and phosphomevalonate kinase, to form 5-pyrophosphomevalonate. Pyrophosphomevalonate decarboxylase then converts the latter into isopentenyl pyrophosphate (IPP), which represents the first "active" isoprene unit or building block. Alternatively, IPP can be produced via the newly discovered mevalonate-independent deoxyxylulose pathway (Rohmer, In

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Comp. Nat. Prod. Chem. Vol. 2, ed. by D. Cane, Pergamon, 1999). After isomerization of IPP to dimethylallyl pyrophosphate (DMAPP), prenyl pyrophosphate co-substrates are added to this basic unit to form longer chains. Two diphosphorylated building blocks generate geranyl diphosphate (GDP), a linear C10 intermediate, that can be converted into linear or cyclic products representing the monoterpenes. Addition of a third unit of IPP generates farnesyl diphosphate (FDP) from which the sesquiterpenoids are derived. The addition of one more IPP unit generates geranylgeranyl diphosphate (GGDP), the precursor for diterpenes, carotenoids, etc. The conversion of GDP, FDP and GGDP into terpenoid products is realized by monoterpene, sesquiterpene and diterpene synthases respectively, which give rise to stable end products or substrates for other enzymes catalyzing secondary transformations. It is assumed that the sesquiterpene lactones originate from a germacrane precursor that is formed from FDP by a germacrane synthase. The germacrane precursor is further cyclized to either a guiane skeleton (of the guianolides) or a eudesmane skeleton (of the eudesmanolides). This germacrane precursor has recently been identified as (+)-germacrene A (De Kraker et al Plant Physiol. 117: 1381-1392, 1998).

Other vegetables, such as lettuce (*Lactuca salva and L. sativa*), radicchio (*Cichorium intybus*), endive (*Cichorium endivia*), and artichoke (*Cynara scolymus*) have also been demonstrated to contain sesquiterpene lactones as bitter constituents (Price et al., 1990, above; Herrmann K., *Z. Lebensm. Unters. Forsch.* **167**:262-273, 1967).

Examples of sesquiterpenoids associated with bitter taste are cnicin (from Cnicus benedictus), absinth (from the wormwood, Artemisia absintha L.), alantolactone and isoalantolactone (from Inula helenium roots) and helenalin (from sneezeweed, Helenium autumnale) (Fischer N., Methods in Plant

Biochemistry 7:187-211, 1991).

Several sesquiterpenoids have been described to have an anti-feedant activity on herbivorous insects and vertebrate herbivores. Examples of these are tenulin (from *Helenium amarum*; Arnason et al., *Journal of Natural products*, **50(4)**: 690-695, 1987) helenalin (from sneezeweed, *Helenium autumnale*), parthenin (from *Parthenium histerophorus*) (Picman A. and

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Picman J., Biochemical systematics and Ecology, 12(1): 89-93, 1984) and linifolin A (Nawrot et al., Prace Naukowe/OR, 24: 27, 1982). Many sesquiterpene lactones have been shown to possess pharmacological [parthenolide from feverfew (Tanacetum parthenium) has an anti-migraine effect (Hewlett et al., Journal of the Chemical Society Perkin Transactions 1, 16: 1979-1986, 1996)], as well as anti-fungal, anti-bacterial, anti-protozoan, schistomicidal and molluscidal activities (Picman, Biochemical Systematics and Ecology 14(3): 255-281, 1978). (-)-Germacrene A has been identified as the alarm pheromone in aphids (Bowers et al., Science 196:680-681, 1976). Also, germacrene A has been postulated to be an intermediate in the formation of the important flavor compound nootkatone (Croteau and Karp, In Perfumes: art, science and technology, ed. by P.M. Müller and D. Lamparsky, Elsevier Science Publishers LTD, England, 1991), as well as an (enzyme-bound) intermediate in the biosynthesis of phytoalexins such as aristolochene, 5-epi-aristolochene, capsidiol, debneyol, and vetispiradiene (Back and Chappell, J. Biol. Chem. 270(13): 7375-7381, 1995; Whitehead et al., Phytochemistry 28(3): 775-779, 1989).

The biological structure and known functions of a large number of sesquiterpenoid lactones as well as the different methods by which they can be isolated is described in the review by Fischer (*Methods in Plant Biochemistry* **7**:187-211, 1991).

McGarvey and Croteau (*The Plant Cell* **7**:1015-1026, 1995) give an overview of the biosynthetic pathways of terpenoids and their regulation.

Chappel (Annu. Rev. Plant Physiol. Plant Mol. Biol., 46:521-47, 1995) reviews the biochemistry and molecular biology of the isoprenoid biosynthetic pathway in plants. Molecular comparison of a monoterpene, a sesquiterpene and a diterpene synthase demonstrates a strong similarity in geneorganization and in amino acid sequence within domains.

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A number of genes involved in sesquiterpenoid biosynthesis have been isolated, of which some examples are given:

Two independent cDNA clones encoding 5-epi-aristolochene synthase (EAS) from tobacco have been isolated and characterized by Facchini and Chappell (*Proc Natl Acad. Sci. USA*, **89**:11088-11092, 1992). The cDNA encoding farnesyl diphosphate synthase was cloned and analyzed for *Arabidopsis*

thaliana by Delourme et al. (*Plant Molec. Biol.*, **26**:1867-1873, 1994) and for Artemisia annua by Matsushita et al. (*Gene*, **172**:207-209, 1996). Back and Chappell described the cloning and bacterial expression of vetispiradiene synthase found in *Hyoscyamus muticus* (1995, above). Molecular comparison of this sequence with that of tobacco EAS displayed identical intron-exon organization of the gene and strong sequence similarities, which is suggested to be reflective of the conservation of several partial reactions common to both enzymes (Back and Chapell, *Proc. Natl. Acad. Sci. USA*, **93**:6841-6845, 1996).

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WO 9715584 describes the use of S-linalool synthase, an acyclic monoterpene synthase, in the genetic engineering of scent production.

The use of the limonene (monoterpene) cyclase in the control of corn rootworm, by inserting a nucleotide sequence coding for limonene cyclase into the plants is described in WO 9637102.

The inactivation of endogenous genes using either sense or anti-sense transgene constructs, has been demonstrated to be successful (Mol, J.N.M. et al., In *Homologous recombination and gene silencing in plants*, ed. by J. Paszkowski, Kluwer Academic Publishers, the Netherlands, 1994; Hamilton, A.J. et al., *Current Topics in Microbiology and Immunology* 197, 77-89, 1995; Bourque J., *Plant Science*, 105:125-149, 1995; Cannon M. et al., *Plant Molecular Biology* 15:39-47, 1990; Smith C.J.S. et al., *Molecular and General Genetics*, 224:477-481, 1990). Also the inactivation of two non-homologous endogenous genes using a single sense gene construct has been reported (Seymour et al., *Plant Molecular Biology* 23:1-9, 1993). The inactivation of an endogenous gene using constructs encoding ribozymes targeting endogenous genes is described by Haselhoff and Gerlach (*Nature* 334:585-591, 1988) and in WO 89/05852.

Alternative methods have been described for decreasing endogenous gene expression. For instance, direct modulation of the endogenous gene using the chimeric RNA-DNA oligonucleotide technology. The method is based on

the construction of a chimeric RNA-DNA oligonucleotide in duplex conformation with double hairpin caps on the ends, of which the sequence is

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designed so as to align with the sequence wherein a mutation is targeted and so as to contain the desired nucleotide change and subsequent introduction of the chimeric oligonucleotide in the cell. A detailed description of this method and its efficiency for bringing mutations into endogenous genes is described by Cole-Strauss et al. (*Science*, **273**:1386-1389, 1996) and in patent n° US patent number 5,565,350.

Suppression of endogenous gene activity can also be achieved by introducing transgenes encoding inhibitors of the enzymatic gene product. Modulation of physiological functions using recombinant immunoglobulins is reviewed by Conrad and Fiedler (*Plant Mol. Biol.* **38**:101-109, 1998).

The aim of the present invention is to provide a polynucleic acid sequence encoding a sesquiterpenoid synthase, more particularly a germacrene A synthase.

Another aim of the present invention is to provide a process for producing a plant with modified sesquiterpenoid synthase activity.

Another aim of the present invention is to provide a process for producing a plant or plant parts with an increased content of germacrene A or sesquiterpene lactone metabolites thereof.

Another aim of the present invention is to provide a process for producing a plant or plant parts with reduced bitterness.

Another aim of the present invention is to provide a recombinant polynucleic acid encoding germacrene A synthase.

Another aim of the present invention is to provide a plant cell or plant, which is transformed with a recombinant polynucleic acid encoding a molecule having the biological activity of a germacrene A synthase.

Any other method for suppressing, decreasing or inducing endogenous gene expression known to the skilled man is also comprised within the content of this application.

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Summary of the invention

The present invention relates to an isolated polynucleic acid encoding a protein or polypeptide having the biological activity of a germacrene A synthase. The invention further relates to an isolated DNA sequence encoding a protein or polypeptide with germacrene A synthase activity, having at least 70%, preferably at least 75% or 80%, more preferably at least 85% or 90%, most preferably at least 95%, especially preferably 100% sequence similarity with part or all of the amino acid sequence of SEQ ID NO 7 and/or SEQ ID NO 8, most preferably with the region of AA 271 to 455 of SEQ ID NO 7 or the region of AA 293 to 477 of SEQ ID NO 8. The invention further relates to an isolated polynucleic acid encoding a protein or polypeptide with germacrene A synthase activity, whereby the DNA sequence has at least 70%, preferably at least 75% or 80%, more preferably at least 85% or 90%, most preferably at least 95%, especially preferably 100% sequence similarity with all or part of the DNA sequence of SEQ ID NO 3 or SEQ ID NO 4.

The term "polynucleic acid" refers to DNA or RNA, or amplified versions thereof, or the complement thereof.

The invention further relates to a polynucleic acid encoding a protein or polypeptide having the biological activity of a germacrene A synthase comprising

- 25 (a) a seguence represented in SEQ ID NO 3 or 4, or,
 - (b) a sequence hybridizing with a sequence as defined in (a) or,
 - (c) a sequence which is redundant as a result of the degeneracy of the genetic code to a sequence under (a) or (b), or
 - (d) a complement of any of the sequences under (a), (b) or (c).
- The term "hybridizing" refers to hybridization conditions as described in Sambrook (Molecular cloning, a laboratory manual, Cold Spring Harbor Press, 1989, page 7.52), preferably specific or stringent hybridization conditions are used.
- The invention further relates to a method of producing germacrene A or sesquiterpene lactones, which method comprises expressing a polynucleic

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acid having at least 70%, preferably at least 75% or 80%, more preferably at least 85% or 90%, most preferably at least 95% similarity with, especially 100% sequence similarity to the nucleotide sequence of SEQ ID NO 3 and/or SEQ ID NO 4, encoding a polypeptide having the biological activity of a germacrene A synthase, in a suitable host cell, in the presence of farnesyl diphosphate, and, optionally, isolating the germacrene A or sesquiterpene lactones thus formed.

The invention further pertains to a recombinant polynucleic acid comprising one or more DNA sequences having at least 70% or 75%, preferably at least 80% or 85%, more preferably at least 90%, most preferably at least 95%, especially preferably 100% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complementary strand thereof, under the control of a plant expressible promoter.

Furthermore, the invention pertains to a recombinant polynucleic acid, which is a sesquiterpenoid modulating gene (SMG), comprising one or more polynucleic acid sequences, each under control of a plant-expressible promoter, such as, but not limited to those sequences described above or parts thereof, which when expressed in a cell of a plant either induce, increase or decrease the activity of a sesquiterpenoid synthase, such as germacrene A synthase, in that cell.

The present invention also relates to probes and primers derived from the new germacrene A synthase genes that are useful for instance for the isolation of additional germacrene A synthase genes having sequences which differ from SEQ ID NO 1 to 4 by techniques known in the art, such as PCR cloning.

The term "probe" according to the present invention refers to a singlestranded oligonucleotide which is designed to specifically hybridize to any of the germacrene A synthase polynucleic acids of the invention.

The term "primer" refers to a single stranded oligonucleotide sequence capable of acting as a point of initiation for synthesis of a primer extension product which is complementary to the germacrane synthase A gene nucleic acid strand to be copied. Preferably the primer is about 5-50 nucleotides long. The term "target region" of a probe or a primer according to the present invention is a sequence within the germacrene A synthase polynucleic

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acid(s) to which the probe or the primer is completely complementary or partially complementary (i.e. with some degree of mismatch). It is to be understood that the complement of said target sequence is also a suitable target sequence in some cases.

"Specific hybridization" of a probe to a target region of the germacrene A synthase polynucleic acid(s) means that the probe forms a duplex with part of this region or with the entire region under the experimental conditions used, and that under those conditions this probe does substantially not form a duplex with other regions of the polynucleic acids present in the sample to be analysed.

"Specific hybridization" of a primer to a target region of the germacrene A synthase polynucleic acid(s) means that, during the amplification step, said primer forms a duplex with part of this region or with the entire region under the experimental conditions used, and that under those conditions the primer does not form a duplex with other regions of the polynucleic acids present in the sample to be analysed. It is to be understood that "duplex" as used hereby, means a duplex that will lead to specific amplification.

Preferably, the probes of the invention are about 5 to 50 nucleotides long, more preferably from about 10 to 25 nucleotides. Particularly preferred lengths of probes include 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24 or 25 nucleotides. The nucleotides as used in the present invention may be ribonucleotides, deoxyribonucleotides and modified nucleotides such as inosine or nucleotides containing modified groups which do not essentially alter their hybridization characteristics.

25 Probe and primer sequences are represented throughout the specification as single stranded DNA oligonucleotides from the 5' to the 3' end. It is obvious to the man skilled in the art that any of the below-specified probes can be used as such, or in their complementary form, or in their RNA form (wherein T is replaced by U).

For designing probes with desired characteristics, the following useful quidelines known to the person skilled in the art can be applied.

The extent and specificity of hybridization reactions such as those described herein are affected by a number of factors, such as degree of complementarity, stability of the probe:target nucleic acid and hybridization conditions including ionic strength, incubation temperature and presence of chemical reagents. Manipulation of one or more of those factors will

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determine the exact sensitivity and specificity of a particular probe. The importance and effect of various assay conditions are well known to the person skilled in the art.

Standard hybridization and wash conditions are disclosed in the Examples section. Other conditions are for instance 3X SSC (Sodium Saline Citrate), 20% deionized FA (Formamide) at 50°C. Other solutions (SSPE (Sodium saline phosphate EDTA), TMAC (Tetramethyl ammonium Chloride), etc.) and temperatures can also be used provided that the specificity and sensitivity of the probes is maintained. When needed, slight modifications of the probes in length or in sequence have to be carried out to maintain the specificity and sensitivity required under the given circumstances.

The invention further encompasses transgenic plants, plant organs, plant tissues or cells, obtained by introducing into their genome a recombinant polynucleic acid comprising one or more DNA sequences having at least 70% or 75%, preferably at least 80% or 85%, more preferably at least 90%, most preferably at least 95%, especially preferably 100% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complementary strand thereof, each under the control of a plant expressible promoter.

Furthermore, the invention pertains to a recombinant polynucleic acid, which is a sesquiterpenoid modulating gene (SMG), comprising one or more polynucleic acid sequences, each under control of a plant-expressible promoter, such as, but not limited to those sequences described above or parts thereof, which when expressed in a cell of a plant either induce, increase or decrease the activity of a sesquiterpenoid synthase, such as germacrene A synthase in that cell.

The invention further encompasses transgenic plants, plant organs, plant tissues or cells, obtained by introducing into their genome a recombinant polynucleic acid comprising one or more DNA sequences having at least 70% or 75%, preferably at least 80% or 85%, more preferably at least 90%, most preferably at least 95%, especially preferably 100% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complementary strand thereof, each under control of a plant expressible-promoter.

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The invention further encompasses transgenic plants, plant organs, plant tissues or cells, having modified sesquiterpenoid synthase activity, due to the presence in their genome of one or more transgenes which, when expressed inhibit the activity of a sesquiterpenoid synthase, such as a germacrene A synthase. or another enzyme involved in the biosynthesis sesquiterpenoids in plants. For instance, such enzymes can be enzymes involved further down in the biosynthesis pathway of the sesquiterpene lactone biosynthesis, such as those responsible for the hydroxylation of germacrene A, the formation of the lactone ring and biosynthesis of costunolide (Fransen et al., 1999, Poster abstracts of the '99 BIOTRANS meeting, 26/9-1/10, Taormina, Sicily, Italy, p. 76; De Kraker et al., 2000. Poster presentation at the 10th Symposium ALW discussion group on Secondary Metabolism in Plant and Plant Cell, Feb. 11, Amsterdam, The Netherlands)

The invention further encompasses transgenic plants, plant organs, plant tissues or cells, having modified taste or pathogen resistance due to the presence in their genome of one or more transgenes which, when expressed inhibit the activity of a sesquiterpenoid synthase, such as a germacrene A synthase, or other enzymes involved in the biosynthesis of sesquiterpenoid lactones from germacrene A.

The invention further encompasses transgenic plants, plant organs, plant tissues or cells, having modified taste or pathogen resistance due to the presence in their genome of one or more transgenes which, when expressed induce or increase the activity of a sesquiterpenoid synthase, such as a germacrene A synthase, or other enzymes involved in the biosynthesis of sesquiterpenoid lactones from germacrene A.

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The invention further encompasses transgenic plants, plant organs, plant tissues or cells, having modified sesquiterpene lactone production due to the presence in their genome of one or more transgenes which, when expressed induce or increase the activity of a sesquiterpenoid synthase, such as a germacrene A synthase, or other enzymes involved in the biosynthesis of sesquiterpenoid lactones from germacrene A.

The invention further encompasses a process for modifying taste and/or resistance in a plant, plant organ, tissue or cell comprising introducing one or more recombinant polynucleic acids which induce, increase, decrease or inhibit the expression or activity of a sesquiterpenoid synthase, such as germacrene A synthase, or other enzymes involved in the biosynthesis of sesquiterpenoid lactones from germacrene A.

More particularly, the invention relates to a process for decreasing the bitter taste in a plant, plant organ, tissue or cell, comprising introducing into plant cells or tissues on or more recombinant polynucleic acids comprising a polynucleic acid sequence having at least 70% or 75%, preferably at least 80% or 85%, more preferably at least 90%, most preferably at least 95%, especially preferably 100% similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4 or parts thereof, or the complementary strand thereof, under the control of a plant-expressible promoter, regenerating the transformed plant cells or tissues into plants and obtaining the plants, plant organs, tissues or cells having decreased bitter taste.

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Brief description of the drawings

The following detailed description, given by way of example, but not intended to limit the invention to specific embodiments described, may be understood in conjunction with the accompanying figures, incorporated herein by reference, in which:

Figure 1. Enzyme activity of 0.5 mL fractions eluting from a Mono-Q anion exchange column (FPLC).

Figure 2. Radio-GLC traces showing radio-labeled products of enzyme assays on A, fraction 20 (elution volume 10 mL) and B, fraction 26 (elution volume 13 mL) of the Mono-Q eluent shown in Fig. 1. The major peak in both traces represents germacrene A, the minor peaks are rearrangement products of germacrene A.

Figure 3. Radio-GLC analysis of radiolabeled products formed from [3 H]-farnesyl diphosphate in assays with protein extracts from transformed *E. coli* BL 21 (DE3) cells (Stratagene). A, FID signal showing an unlabelled authentic standard of germacrene A. B, C, radio-traces showing enzymatic products of protein extracts from BL 21 (DE3) cells transformed with A, the short cDNA and B, the long cDNA. 1, selina-4, 11-diene 2,3, α - and β -selinene 4, germacrene A. Long and short cDNA refer to the genes encoding the iso-enzymes of germacrene A synthase, of which the cDNA sequences are provided herein.

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Figure 4. GC-MS analysis on an HP5-MS column of products formed from farnesyl diphosphate in assays with protein extracts from transformed $E.\ coli$ BL 21 (DE3) cells (Stratagene). A, chromatogram of the negative control (vector without insert); B, chromatograms of B, the short cDNA; C chromatogram of C, the long cDNA; D, an authentic standard of germacrene A. 1, selina-4,11-diene 2, B-selinene 3, α -selinene 4, germacrene A. "Long" and "short" cDNA refer to the genes encoding the iso-enzymes of germacrene A synthase, of which the cDNA sequences are provided herein.

Figure 5. Mass spectra of main product peaks 4 from chromatograms in Figure 4; A: the "short" cDNA; B: the "long" cDNA; C: authentic standard of germacrene A.

Figure 6. GC-MS analysis on an enantioselective column (Selected Ion Monitoring-mode) of products formed from farnesyl diphosphate in assays with protein extracts from transformed *E. coli* BL 21 (DE3) cells (Stratagene) with co-injection of an authentic standard of (+)- and (-)-β-elemene. (A,B) chromatograms of the short cDNA, with A, an injection port temperature of 150°C and B, an injection port temperature of 250°C. C,D, chromatograms of the long cDNA, with C, an injection port temperature of 150°C and D, an injection port temperature of 250°C. 1, (+)-β-elemene; 2, (-)-β-elemene; 3, α-selinene; 4, β-selinene; 5, selina-4,11-diene; 6, germacrene A. "Long" and "short" cDNA refer to the genes encoding the iso-enzymes of germacrene A synthase, of which the cDNA sequences are provided herein.

Figure 7. (a) Northern blot analysis of the expression of the two germacrene A synthase genes in several tissues of chicory; (b) Quantification of Northern blot analysis of the expression of the two germacrene A synthase genes in several tissues of chicory.

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Figure 8. Radio-GC analysis of radiolabeled products of incubations of crude extracts of etiolated seedlings of *Cichorium intybus* (A), inuline chicory (B), *Lactuca sativa* (C), radicchio (Chioggia type) (D), radicchio (Treviso type) (E), and endive (F) and *Lactuca sativa* (C) with 3H-labeled farnesyl diphosphate as substrate. Peaks: 1, germacrene A; 2, α/β -selinene; 3, farnesol.

Figure 9. GC-MS spectrum of A, the major sesquiterpene product of an incubation of a crude extract of etiolated seedlings of *Lactuca sativa* with farnesyl diphosphate, and B, of an authentic standard of germacrene A.

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Figure 10. (a). GC-MS analysis of the products of an enzyme assay using 50 μ M FPP as substrate. A, standard of germacrene A; B, control sample (tobacco transformed with GUS gene); C, D, trangenic tobacco expressing the long germacrene A synthase gene. Injected with an injection port temperature of 150°C (C) or 250°C (D). 1, β -elemene, 2, germacrene A. (b) Sesquiterpene synthase activity of tobacco in vitro regenerants. Wt, wildtype, non-transformed, tobacco plantlets (also regenerated in vitro). 121-17, 8 and 16, transgenic plants containing the GUS gene. E, (putative) transgenic tobacco plants containing the long germacrene A synthase gene. (c) Sesquiterpene synthase activity of tomato Micro-Tom regenerants. C1, C2: transgenic control plants containing a GUS construct. Numbers 2-14: (putative) transgenic tomato plants containing the long germacrene A synthase gene.

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Figure 11. Constructs for use in the production of transgenic plants with decreased germacrene A synthase activity. A= gene 1, B=gene 2 (or fragments thereof), A5'= 5'end of gene 1, B5' = 5' end of gene 2, Prom = promoter, T = terminator. Gene 1 and gene 2 refer to the cDNAs encoding the isoenzymes of germacrene A synthase, of which the sequences are provided herein (or fragments thereof) The arrows in the boxes represent the sense and anti-sense orientation of the DNA sequence. The 5' ends

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comprise several hundred basepairs including part of the UTR. The promoter is preferably an enhanced 35S promoter, the terminator a nos terminator.

Figure 12. Sesquiterpene synthase activity of chicory in in vitro regenerants.

C, transgenic plants containing the GUS gene. A, D and E, plants harboring constructs containing the germacrene A synthase gene(s); A, construct 1; D, construct 4 (Figure 11). E, sense construct of the long germacrene A synthase (Example 7);

Figure 13. Sequence alignment of the two cDNAs (A = "short", SEQ ID NO 3; B = "long", SEQ ID NO 4) encoding germacrene A synthase isolated from chicory. Sequence alignment was done with the ClustalW program.

Figure 14. Sequence alignment of the deduced amino-acid sequences (A= "short", SEQ ID NO 7; B = "long", SEQ ID NO 8) of two iso-enzymes of germacrene A synthase in chicory. Sequence alignment was done with the ClustalW program.

20 Description of the invention

The term "gene" as used herein refers to any DNA sequence comprising several operably linked DNA fragments such as a promoter and a 5' untranslated region (the 5'UTR), which together form the promoter region, a coding region (which may or may not code for a protein), and an untranslated 3' region (3'UTR) comprising a polyadenylation site. Typically in plant cells, the 5'UTR, the coding region and the 3'UTR (together referred to as the transcribed DNA region) are transcribed into an RNA which, in the case of a protein encoding gene, is translated into the protein. A gene may include additional DNA fragments such as, for example, introns. As used herein, a genetic locus is the position of a given gene in the genome of a plant.

The term "polynucleic acid" refers to DNA or RNA, or amplified versions thereof, or the complement thereof.

The term "chimeric" when referring to a gene or DNA sequence is used to refer to the fact that the gene or DNA sequence comprises at least two functionally relevant DNA fragments (such as promoter, 5'UTR, coding

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region, 3'UTR, intron) that are not naturally associated with each other and originate, for example, from different sources. "Foreign" referring to a gene or a DNA sequence with respect to a plant species is used to indicate that the gene or DNA sequence is not naturally found in that plant species. An endogenous plant gene is a gene that is naturally found in the concerned plant species.

As used herein the term "transgene" refers to a recombinant DNA or polynucleic acid molecule that is introduced into the genome of a plant. The term "recombinant DNA or polynucleic acid molecule" is used to exemplify and thus can include an isolated nucleic acid molecule which can be DNA and which can be obtained through recombinant or other procedures. This recombinant DNA molecule usually comprises at least one copy of at least one "gene of interest" (e.g. a recombinant DNA) which is capable of conferring one or more specific characteristics to the transformed plant. A "transgenic plant" refers to a plant comprising a transgene in the genome of all of its cells.

Expression of the transgene is used to indicate that the gene(s) of interest comprised in the transgene is expressed so as to confer on the plant one or more phenotypic traits (e.g. induced, increased or decreased sesquiterpenoid level) that were intended to be conferred by the introduction of the recombinant DNA molecule — the transforming DNA - used during transformation.

The term "sequence identity" with respect to a nucleotide sequence or an amino acid sequence, refers to the number of positions with identical nucleotides divided by the number of nucleotides in the shorter of the two sequences wherein alignment of the two sequences can be determined in accordance with the Wilbur and Lipmann algorithm (Wilbur and Lipmann, PNAS USA, 80:726, 1983) using a window size of 20 nucleotides, a word length of 4 nucleotides, and a gap penalty of 4, and computer-assisted analysis and interpretation of the sequence data can be conveniently performed using programs of the intelligeneticsTM Suite (Intelligenetics Inc. CA). Sequences which are essentially identical have a sequence identity of at least about 70% or 75%, advantageously at least about 80%, such as at least about 85%, preferably at least about 90%, especially about 95%, such as at least 97%, and especially about 100%. It is clear that when RNA

sequences are said to be essentially identical or identical, or have a degree of sequence identity with DNA sequences, thymidine (T) in the DNA sequence is considered to be equal to uracil (U) in the RNA sequence. Additionally or alternatively, the term "sequence similarity" with respect to a nucleotide or amino acid sequence is intended to indicate a quantitative measure of similarity between two sequences. Sequence similarity as used herein can be measured using the alignment algorithm of the ClustalW program (Thompson et al., *Nucleic Acids Research* 22(22): 4673-7680, 1994). Sequences which are essentially similar have a sequence similarity of at least about 70%, advantageously at least about 75% or 80%, such as at least about 85%, preferably at least about 90%, especially about 95%, such as at least 97%, and especially about 100%.

More particularly, the sesquiterpenoid modulating genes as used herein will comprise a DNA sequence which is essentially similar, or, preferentially, essentially identical or identical to one or both of the nucleotide sequences or encodes an amino acid sequence which is essentially similar, or preferentially, essentially identical to one or both of the amino acid sequences corresponding to germacrene A synthase disclosed herein, more specifically in the nucleotide sequence encoding, or the amino-acid sequence corresponding to the "active domain" of the enzyme. The active domain of sesquiterpenoid synthases, such as germacrene A synthase, was determined by Back and Chappell (1996, above) to stretch from about 40 amino acids before to about 140 amino acids behind the conserved DDXXD region.

Reduced or decreased bitterness as used herein refers to a decrease in bitter constituents, i.e., molecules that confer a bitter taste. In the context of the present invention, bitter constituents are sesquiterpenoid molecules that confer a bitter taste on plants, e.g., sesquiterpenoid lactones. These can be determined qualitatively and quantitatively using HPLC (Price et al., 1990, above; Van Beek et al., 1990, above). Alternatively, the activity of enzymes catalyzing the formation of intermediates in the synthesis of the bitter constituents can be used as a measure for bitterness, such as the activity of germacrene A synthase, which catalyses the production of germacrene A, an intermediate in the production of sesquiterpenoid lactones. An "increase" or "decrease" of bitterness or bitter constituents in a transgenic plant or plant

part, as described herein, is determined relative to a non-transgenic plant or plant part.

Resistance as referred to herein relates to a decreased infection state of a plant by certain insects, nematodes, microorganisms or decreased feeding of vertebrate herbivores. In the context of the present invention, resistance will primarily be the result of an increased deterrence to certain organisms, but can also be the result of an increased toxicity of the plant or plant parts to certain organisms, or the increased attraction to predators of the infecting organism. Alternatively, resistance of a plant or plant part can be measured by the presence therein of sesquiterpenoid molecules with deterring activity. An "increase" in resistance of a transgenic plant or plant part, as described herein, is determined relative to the resistance of a comparable non-transgenic plant or plant part.

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As used herein, "modulation of sesquiterpenoids" refers to the influencing of the level of one or more sesquiterpenoids in a plant, and can thus refer either to an induction, increase or decrease of production of sesquiterpenoids in the plant. This modulation is preferably achieved, according to the invention, by influencing the level and/or activity of one or more sesquiterpenoid synthases in a plant. Sesquiterpenoid synthases are enzymes that are involved in the biosynthesis of sesquiterpenoids. A "germacrene A synthase" as used herein refers to an enzyme capable of producing germacrene A, preferably as a stable compound which is released from the enzyme without further processing by that enzyme.

The genes which according to this invention, can be used to modulate the level and/or activity of sesquiterpenoid synthases in plants will generally be referred to as "sesquiterpenoid modulating genes" ("SMGs"). These are foreign or endogenous genes comprising one or more DNAs encoding sesquiterpenoid synthases or transgenes derived from genes encoding sesquiterpenoid synthases, more particularly genes encoding germacrene A synthase, or other enzymes involved in the biosynthesis of sesquiterpenoids in plants. More particularly, the DNAs encoding germacrene A synthase, isolated in the context of the present invention are referred to as the "long" and "short" DNAs herein. Modulation of sesquiterpenoid synthase activity is

obtained, according to one embodiment of the invention, by influencing

endogenous gene expression in the plant. This is preferably achieved by introducing into the genome of the plant, one or more transgenes which interact with the expression of endogenous genes, by anti-sense RNA, co-suppression or ribozyme suppression.

Alternatively, introduction of one or more DNA sequences encoding a sesquiterpenoid synthase into the plant genome, in a suitable conformation for gene expression (e.g. under control of a plant-expressible promoter), will result in increased or induced expression of the sesquiterpenoid synthase(s) in the plant, and, in the presence of an adequate substrate, in an increase of the corresponding sesquiterpenoid.

Induced, increased or reduced expression of a sesquiterpenoid synthase gene in a transgenic plant or plant cell as compared to a non-transgenic plant or plant cell can be measured by measuring mRNA levels, or where appropriate, the level or activity of the sesquiterpenoid synthase (e.g. ELISA, activity of the enzyme as indicated by the level of sesquiterpenoid or metabolites thereof (such as sesquiterpenoid lactones) formed. Endogenous sesquiterpenoid synthase expression refers to the expression of a protein with sesquiterpenoid synthase activity which is naturally found in the concerned plant, plant part or plant cell.

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The biological activity of a sesquiterpenoid synthase can be measured directly in vitro by incubation of a purified or non-purified sample with the substrate of the sesquiterpenoid synthase, preferably labeled, after which the catalytic activity of the sesquiterpenoid synthase can be measured. For example, germacrene A synthase activity in a sample can be measured by incubating a sample allegedly containing the enzyme with (radiolabeled) farnesyl diphosphate as substrate, after which production of germacrene A can be measured by radio-GC analysis or GC-MS analysis (for example as described in the examples herein).

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As used herein, the term a "plant-expressible promoter" refers to a promoter that is capable of driving transcription in a plant cell. This includes any promoter of plant origin, including the natural promoter of the transcribed DNA sequence, but also any promoter of non-plant origin which is capable of directing transcription in a plant cell, i.e. certain promoters of viral or bacterial origin such as the Cauliflower Mosaic Virus 35S (CaMV35S) or the T-DNA

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gene promoters. The term "plant-expressible promoter" includes, but is not restricted to, constitutive, inducible, and tissue-specific promoters.

The present invention is based on the observation that the bitter taste of certain vegetables, for example chicory, is related to the synthesis of sesquiterpene lactones, for example guianolides. As decreasing the bitterness would increase the market value of some of these vegetables, the biosynthesis of the sesquiterpene lactones in chicory was investigated. It was found that germacrene A is the product of a germacrene A synthase from which it is released without being further converted into other sesquiterpenoids by this enzyme. Other enzymes further modify the germacrene A skeleton to produce the variety of sesquiterpene lactones present in chicory. Germacrene A synthase was partially purified and the corresponding genes were isolated. The present invention is further based on the observation that certain sesquiterpene lactones play a role in the resistance of plants against several organisms. Based on these observations the concept was developed that the genes encoding sesquiterpenoid synthases could be used to influence both flavor and resistance in plants.

As the isolated genes encoding monoterpene-, sesquiterpene- and diterpene cyclases display a strong similarity in gene-organization and in amino acid sequence within domains, it is expected that modulation of gene expression, e.g., by anti-sensing or co-suppression, may influence the expression of different genes displaying such similar structure. It should be understood that such similarities can and should be taken into account when designing the transgenes used in the present invention.

In one embodiment of the invention, the production of the bitter constituents in plants is reduced or suppressed, by modulating the level and/or activity of sesquiterpenoid synthase(s) in the plant involved in the production of guianolides and other sesquiterpenoids associated with the bitter taste in vegetables. More particularly, modulation is achieved by suppressing endogenous germacrene A synthase levels by anti-sense RNA, co-suppression or other methods of gene suppression.

In different vegetables, such as chicory, endives, radicchio, lettuce and artichoke, sesquiterpenoid lactones have been shown to be important bitter

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constituents. The isolation from chicory of the sesquiterpenoid synthase involved in the biosynthesis of these bitter constituents as well as the genes encoding this enzyme is described herein (example 1 to 5).

According to one aspect of the invention, a decrease in activity of a sesquiterpenoid synthase in a plant or parts of a plant is obtained by introducing into the cells of the plant one or more transgenes which are sesquiterpenoid modulating genes (SMGs), capable of influencing the level of one or more endogenous sesquiterpenoid synthase(s) in the plant. The sesquiterpenoid modulating genes of the present invention comprise a transcribed DNA sequence under the control of, and fused at its 5' end to, the plant-expressible promoter, whereby the resulting RNA, protein or polypeptide, when expressed in cells of the plant, significantly disturb or reduce the level and/or the activity of the endogenous sesquiterpenoid synthase(s). Alternatively, the stable integration of the transgene(s) into the cell in itself results in a decreased expression of the endogenous sesquiterpenoid synthase gene(s).

Thus, in one embodiment of the invention, the sesquiterpenoid modulating gene (SMG) comprises a DNA which encodes an anti-sense RNA which is complimentary to at least part of the sense mRNA of a sesquiterpenoid synthase gene that is naturally transcribed in the cells. More particularly, the SMG comprises a DNA encoding an anti-sense RNA which is the complement of the sense RNA of a germacrene A synthase gene from chicory, most particularly the complement of SEQ ID NO 3 or 4 or a part thereof. Alternatively, the SMG comprises a DNA encoding an anti-sense RNA which has at least 70% or 75%, preferably at least 80% or 85%, particularly at least 90%, more particularly 95%, especially has 100% sequence similarity to the complement of the sense RNA of the sequence of SEQ ID NO 3 or SEQ ID NO 4, or a part thereof. The anti-sense RNA may be complementary to any part of the sense mRNA (corresponding to part or all of an intron, exon, leader sequence etc., coding or non-coding region). Preferably, the anti-sense RNA is complementary to the sense RNA sequence encoding the active domain of the enzyme. More particularly, the anti-sense RNA comprises a sequence of at least 20 nucleotides, preferably 100 nucleotides complementary to the sense RNA encoded by nucleotide

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845 to 1390 of SEQ ID NO 3 or to the sense RNA encoded by nucleotide 906 to 1460 of SEQ ID NO 4. Preferably, the SMG does not encode a functional protein, more particularly it does not encode a protein.

Suppression of germacrene A synthase activity may be obtained using one or more SMGs, which can comprise a DNA sequence which encodes an antisense RNA which is identical or similar to the complement of the sequence of SEQ ID NO 3 or part thereof, or a DNA sequence which encodes an antisense RNA which is identical or similar to the complement of the sequence of SEQ ID NO 4 or part thereof. Alternatively, an SMG may be used which encodes an anti-sense RNA which is at least 60%, preferably at least 65%, most preferably at least 70% similar to the complement of a sequence between nucleotide 845 and nucleotide 1390 of SEQ ID NO 3 and of a sequence between nucleotide 906 and nucleotide 1460 of SEQ ID NO 4.

In another embodiment of the invention, the transcribed DNA sequence of the SMG comprises a DNA that is strongly homologous or similar to an endogenous sesquiterpenoid synthase sequence, so that introduction of the SMG into the genome of the plant causes the endogenous sesquiterpenoid synthase gene to be suppressed (co-suppression). Suppression of expression of the endogenous gene is achieved by introduction of a SMG comprising a strong plant-expressible promoter operably linked to a DNA so that the resulting transcribed RNA is a sense RNA comprising a nucleotide sequence which has at least 75%, preferably at least 80%, particularly at least 85%, more particularly at least 90%, especially at least 95% similarity with, more especially has 100% sequence similarity to the coding or transcribed DNA sequence (sense) of the endogenous sesquiterpenoid synthase gene of which the expression is to be suppressed. Particularly, The SMG comprises a DNA that displays a sequence similarity with the transcribed DNA region of a germacrene A synthase gene or a part thereof. More particularly, it comprises a DNA with a sequence similarity to the transcribed DNA region of SEQ ID NO 3 or SEQ ID NO 4. Particularly, the transcribed region of the SMG does not code for a protein. Preferably, the transcribed DNA region of the SMG does not code for a functional protein. Suppression of germacrene A synthase activity may be obtained using one or more SMGs, which can comprise one or more DNA sequences which is identical or essentially similar the sequence of SEQ ID NO 3 or part thereof,

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or identical or essentially similar to the sequence of SEQ ID NO 4 or part thereof. Alternatively, an SMG may be used which has at least 70% or 75%, preferably at least 80%, most preferably at least 90%, especially at least 95% similarity with, more especially has 100% sequence similarity to a sequence between nucleotide 845 and nucleotide 1390 of SEQ ID NO 3 and to a sequence between nucleotide 906 and nucleotide 1460 of SEQ ID NO 4.

Recently, Waterhouse et al. (*PNAS*, **95(23**): 13959-64, 1998) have described methods and means to make gene silencing in plants more efficient and predictable, by simultaneous expression of both sense and anti-sense constructs in cells of one plant. The sense and anti-sense nucleic acids may be in the same transcriptional unit, so that a single RNA transcript that has self-complementarity is generated upon transcription.

In an analogous way, Hamilton et al. (*The Plant Journal* 15(6): 737-746, 1998) describe improved silencing e.g. of tomato ACC-oxidase gene expression using a sense RNA containing two additional upstream inverted copies of its 5' untranslated region.

WO 98/53083 describes constructs and methods for enhancing the inhibition of a target gene within an organism, involving the insertion into the gene silencing vector of an inverted repeat of all or part of a polynucleotide region within the vector.

In a further embodiment of the invention, an SMG comprises a DNA, which encodes a specific RNA enzyme or ribozyme, capable of highly specific cleavage of an endogenous sesquiterpenoid synthase gene of a plant. Particularly, the ribozyme encoded by the DNA is targeted against a gene encoding germacrene A synthase, most particularly against the mRNA sequence corresponding to the cDNA of SEQ ID NO 3 and/or SEQ ID NO 4.

It is understood that alternative methods can be developed for decreasing the sesquiterpenoid synthase activity in plants or plant parts in order to reduce bitterness, for instance inhibition the activity of the enzyme itself. Thus, the present invention also relates to sesquiterpenoid modulating genes encoding a protein or polypeptide capable of inhibiting the activity of a sesquiterpenoid synthase, more particularly, capable of inhibiting germacrene A synthase activity. Such an SMG can encode, for instance, an antibody or a fragment of an antibody directed against a germacrene A synthase. More particularly, the

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antibody fragment will be directed against an epitope of the protein made up of the sequence of SEQ ID NO 7 and/or SEQ ID NO 8,

According to another aspect of the invention, sesquiterpenoid modulating genes (SMG), are used to increase or induce expression of sesquiterpenoid synthase in a plant, so as to increase the level of sesquiterpenoids conferring resistance to the plant or increase the level of sesquiterpenoids that are interesting for other reasons, for example flavor and fragrance compounds derived from germacrene A or sesquiterpene lactones with pharmacological activity. This is achieved by introducing into the genome of a plant one or more SMGs comprising a DNA encoding a protein with sesquiterpenoid synthase activity, under the control of a plant-expressible promoter. More specifically, the SMG comprises a DNA encoding protein with germacrene A synthase activity. For instance, the SMG can comprise a sequence encoding a protein which has at least 70% or 75%, preferably at least 80% or 85%, most preferably 90%, especially at least 95% similarity with, more especially has 100% sequence similarity to SEQ ID NO 7 and/or SEQ ID NO 8, or a part thereof, encoding a functional part of a germacrene A synthase. Plants particularly suited for this embodiment of the invention are plants already producing sesquiterpene lactones such as for example many of the members of the Asteracea, such as species from the genera Cichorium, Lactuca, and Helenium (in case upregulation of sesquiterpene lactone formation is required), or plants already producing sesquiterpenes such as for example the genera Carum, Capsicum, Chamomilla, Cichorium, Citrus, Daucus, Gossypium, Juniperus, Lactuca, Tanacetum, Lycopersicon, Nicotiana, Pogostemon, Vetiveria (in case the production of germacrene A or other terpenoids derived thereof such as nootkatone is desired). If a high production is required, or when a shortage in FDP, the enzyme's substrate, is anticipated, a recombinant DNA encoding a protein or polypeptide with germacrene A synthase activity may be combined with a DNA encoding FDP or a protein with FDP synthase activity.

According to the invention, sesquiterpenoid synthase expression and/or activity in a plant or in plant parts is modulated by introducing one or more sesquiterpenoid modulating genes (SMGs) into the genome of the plant. The SMG(s) comprise(s) a coding region placed under the control of, and fused at

its 5' end to, a plant-expressible promoter. This promoter can be the natural promoter of an endogenous sesquiterpenoid synthase gene, more particularly the promoter of an endogenous germacrene A synthase gene, most particularly the promoter of a gene corresponding to the cDNA of SEQ ID NO 3 or SEQ ID NO 4.

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Alternatively, the SMG is placed under control of a constitutive promoter, directing expression in essentially all cells of the plant. More specifically, the constitutive promoter can be, but is not restricted to, one of the following: a 35S promoter (Odell et al., *Nature* 313:482-493, 1985), a 35S'3 promoter (Hull and Howell, *Virology* 86:482-493, 1987), the promoter of the nopaline synthase gene ("PNOS") of the Ti-plasmid (Herrera -Estrella, *Nature* 303:209-213, 1983) or the promoter of the octopine synthase gene ("POCS", De Greve et al., *J. Mol. Appl. Genet.* 1(6): 499-511, 1982). It is clear that other constitutive promoters can be used to obtain similar effects.

For specific embodiments of this invention, the use of inducible promoters can provide certain advantages. In one embodiment of the invention, modulation of sesquiterpenoid synthase activity is used to increase pest resistance and can be required only upon infection by pests. It has been observed that infestation of peppers by the spider mite induces germacrene A production, possibly as part of a defense mechanism which leads to production of signal molecules which attract spider mite predators (personal communication). Transformation of such a plant with a sesquiterpenoid synthase gene under control of a promoter which initiates gene transcription upon infection of the plant by the spider mite will increase the production of these signal molecules, improving the natural defence mechanism.

In another embodiment of the invention, modulation of sesquiterpenoid synthase activity is required at least in, but possibly only in certain parts of the plant, making it possible to limit modulation to a certain period of culture or developmental stage of the plant. More particularly, it may be desired to decrease the sesquiterpenoid synthase(s) in the plant specifically in those parts of the plant destined for consumption or processing. More specifically, in a preferred embodiment of the invention the bitterness of chicory is decreased, at least in the shoots (eaten as vegetables) and/or roots (used as a source for sugars and/or feed for cattle). The shoots and roots of chicory are grown under dark conditions. Thus, in one aspect of the invention, the

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sesquiterpenoid modulating gene(s), is (are) placed under the control of a promoter which directs expression in the cells of the plant under specific dark conditions.

Alternatively, for other bitter tasting plants of which the leaves are used as food crop, such as, but not limited to, the lettuce (*Lactuca sativa*), light-inducible promoters can be used. Examples of inducible promoters are the dark regulated PRB-1b protein promoter described by Sessa et al. (*Plant Mol. Biol.*, **28**(3): 537-547, 1995) and the dark and light regulated chlorophyll A/B binding protein promoters, described by Cashmore (*Proc. Natl. Acad. Sci.* **81**:2960-2964, 1984) and by Simpson, et al. (*EMBO J.* **4**:2723-2729, 1985) and in US patent n° 5,656,496.

Similarly, an inducible increase in sesquiterpenoid production can be of interest to protect plants from insects, fungi, nematodes or vertebrate herbivores by placing the SMG(s) under the control of an insect- fungus-, nematode-, or wounding-inducible promoter.

For specific embodiments of this invention, the use of tissue-specific promoters can provide certain advantages. More particularly, reduction of bitterness in plants will mainly be of value for parts of the plants destined for consumption or processing. Thus, in specific embodiments of the invention, the SMG(s) is (are) placed under the control of a promoter directing expression in specific plant tissues, such as roots or leaves. For instance, in chicory, reduction of the activity of sesquiterpenoid synthase, more particularly germacrene A synthase, is directed at the shoots (eaten as vegetables) and/or the roots (used as a source for sugars).

Similarly, in plants where certain parts of the plants are particularly susceptible to the damage of insects, microorganisms, nematodes or vertebrate herbivores, tissue-specific increase in sesquiterpenoid production can be of interest. For instance, to protect plants from infection by aphids, an increase in sesquiterpenoid synthase production is directed to the phloem or the chlorophyll-producing plant parts. More particularly, the SMG(s) is (are) placed under the control of a phloem-specific promoter (such as the rolC promoter of Agrobacterium) or the promoter of the gene encoding the small subunit of Rubisco. Alternatively, to protect plants from infection by root pathogens, for example fungi or nematodes, the increase in sesquiterpenoid synthase production is directed to the roots. More particularly, the SMG(s)

is(are) placed under the control of a root-specific promoter (such as described by Keller et al., *Genes Devel.* 3: 1639-1646, 1989).

The sesquiterpenoid modulating gene(s) may include further regulatory or other sequences from other plant or bacterial genes, such as leader sequences (e.g. the cab22 leader from Petunia), 3'transcription termination and polyadenylation signals (e.g. from the octopine synthase gene or the nopaline synthase gene), plant translation initiation consensus sequences, introns etc, which is or are operably linked to the SMG.

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The recombinant DNA comprising one or more SMGs may be accompanied by a chimeric marker gene. The chimeric marker gene can comprise a marker DNA that is operably linked at its 5' end to a plant-expressible promoter, preferably a constitutive promoter, such as the CaMV 35S promoter, or a light inducible promoter such as the promoter of the gene encoding the small subunit of Rubisco; and operably linked at its 3' end to suitable plant transcription 3' end formation and polyadenylation signals. It is expected that the choice of the marker DNA is not critical, and any suitable marker DNA can be used. For example, a marker DNA can encode a protein that provides a distinguishable color to the transformed plant cell, such as the A1 gene (Meyer et al., Nature 330: 677, 1987), can provide herbicide resistance to the transformed plant cell, such as the bar gene, encoding resistance to phosphinothricin (EP 0,242,246), or can provide antibiotic resistance to the transformed cells, such as the aac(6') gene, encoding resistance to gentamycin (WO94/01560).

The cell of a plant is preferably transformed in accordance with this invention, using a vector that is a disarmed Ti-plasmid containing the transgene and carried by *Agrobacterium*. This transformation can be carried out using the procedures described, for example, in European patent publications 0,116,718 and 0,270,822. Protocols describing *Agrobacterium*-mediated transformation of lettuce, chicory and tobacco are described in Michelmore, R. et al. (*Plant Cell Reports* 6, 439-442, 1987), Hohn and Ohlrogge, (*Plant Physiology* 97, 460-462, 1991) and Frulleux et al. (*Plant Cell, Tissue and Organ Culture* 50, 107-112, 1997). Preferred Ti-plasmid vectors contain the transgene sequence between the border sequences, or at least located to

the left of the right border sequence, of the T-DNA of the Ti-plasmid. Where advantageous, plants are preferably transformed with auxotrophic *Agrobacterium* strains as described in European Patent Application 9711465.3). Of course other methods can be used to transform the plant cell, such as direct gene transfer (as described, for example in EP 0,223,247), pollen-mediated transformation (as described, for example in EP 0,270,356, WO85/01856), in vitro protoplast transformation (as described for example in US patent 4,684,611), plant RNA virus-mediated transformation (as described, for example in European patent publication 0,067,553 and US patent 4,407,956) and liposome mediated transformation (as described, for example, in US patent 4,536,475).

Although it is clear that the invention can be applied essentially to all plant species and varieties, the invention will be especially suited for those plants for which a decrease in bitter constituents or an increased resistance would result in an enhanced commercial value. The obtained transformed plants can be used in a conventional breeding scheme to produce more transformed plants with the same characteristic or to introduce the modified sesquiterpenoid synthase activity characteristic of the invention in other varieties of the same or related plant species. Seeds obtained from the transformed plants contain the transgene of the invention as a stable genomic insert.

The following Examples describe the isolation of a novel sesquiterpenoid synthase gene, the germacrene A synthase gene from *Cichorium intybus* and the use of this sequence or parts thereof in the manipulation of germacrene A synthase activity in plants. Unless stated otherwise in the Examples, all recombinant DNA techniques are carried out according to standard protocols as described in Sambrook et al. (1989) Molecular Cloning: A Laboratory Manual, Second Edition, Cold Spring Harbor Laboratory Press, NY and in volumes 1 and 2 of Ausubel et al. (1994) Current Protocols in Molecular Biology, Current Protocols, USA. Standard materials and methods for plant molecular work are described in Plant Molecular Biology Labfax (1993) by R.D.D. Croy, jointly published by BIOS Scientific Publications Ltd. (UK) and Blackwell Scientific Publications, UK.

Throughout the Description and Examples reference is made to the following sequences:

PCR fragment 1 (short) SEQ ID NO 1:

SEQ ID NO 2: PCR fragment 2 (long)

cDNA 1 encoding C. intybus germacrene A synthase (short) SEQ ID NO 3:

SEQ ID NO 4: cDNA 2 encoding C. intybus germacrene A synthase (long)

SEQ ID NO 5: amino acid sequence encoded by PCR fragment of SEQ ID

NO₁

SEQ ID NO 6: amino acid sequence encoded by PCR fragment of SEQ ID 10

NO₂

SEQ ID NO 7: amino acid sequence encoded by cDNA of SEQ ID NO 3

SEQ ID NO 8: amino acid sequence encoded by cDNA of SEQ ID NO 4

SEQ ID NO 9: primer

SEQ ID NO 10: primer 15

SEQ ID NO 11: primer

SEQ ID NO 12: primer

SEQ ID NO 13: primer

SEQ ID NO 14: primer

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Example 1: Partial purification from chicory of two proteins catalyzing the formation of germacrene A from FDP

Chicory chicons were cut into small pieces, frozen in liquid nitrogen and ground to a fine powder using a cooled mortar and pestle. One gram of this powder was homogenized in 10 mL buffer containing 25 mM Mopso (pH 7.0). 20% (v/v) glycerol, 25 mM sodium ascorbate, 25 mM NaHSO₃, 10 mM MgCl₂ and 5 mM DTT (buffer A), slurried with 0.5 g polyvinylpolypyrrolidone (PVPP) and a spatula tip of purified sea sand. To the homogenate 0.5 grams of polystyrene resin (Amberlite XAD-4, Serva) were added and the slurry was stirred carefully for 10 min and then filtered through cheesecloth. The filtrate was centrifuged at 20,000g for 20 min (pellet discarded), and then at 100,000g for 90 min. The 100,000g supernatant was loaded on a 10 x 2.5 cm column of Q-sepharose (Pharmacia Biotech) previously equilibrated with buffer containing 15 mM Mopso (pH 7.0), 10% (v/v) glycerol, 10 mM MgCl₂ and 2 mM DTT (buffer B). The column was washed with the equilibration buffer and eluted with a 0-2.0 M KCl gradient. For determination of enzyme

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activities, 20 μ L of the 2.0-ml fractions were diluted 5-fold in an Eppendorf tube with buffer B and 20 μ M [3 H]FDP was added. The reaction mixture was overlaid with 1 mL of hexane to trap volatile products and the contents mixed. After incubation for 30 min at 30°C, the vials were mixed, and centrifuged to separate phases. A portion of the hexane phase (750 μ L) was transferred to a new Eppendorf tube containing 40 mg of silica gel, and, after mixing and centrifugation, 500 μ L of the hexane layer was removed for liquid scintillation counting in 4.5 ml of Ultima Gold cocktail (Packard).

The combined active fractions were desalted to buffer B, and 1.0 mL of this enzyme preparation was applied to a Mono-Q FPLC column (HR5/5, Pharmacia Biotech), previously equilibrated with buffer B containing 0.1% Tween-20. The column was eluted with a gradient of 0-600 mM KCl in the same buffer. The activity, which eluted as one peak from the Q-sepharose column, was now separated into two activity peaks (activity assessed as described above) (Fig. 1). For determination of product identity, 0.5 mL of the two most active fractions were diluted 2-fold with buffer B and 20 µM [3H]-FDP were added. After the addition of a 1-mL redistilled pentane overlay, the tubes were carefully mixed and incubated for 1 h at 30°C. Following the assay, the tubes were mixed, the organic layer was removed and passed over a short column of aluminum oxide overlaid with anhydrous MgSO₄. The assay was extracted with another 1 mL of diethyl ether, which was also passed over the aluminum oxide column, and the column washed with 1.5 mL of diethyl ether. Before radio-GLC-analysis the extract was carefully concentrated under a stream of N₂.

Radio-GLC was performed on a Carlo-Erba 4160 Series gas chromatograph equipped with a RAGA-90 radioactivity detector (Raytest, Straubenhardt, Germany). Sample components eluting from the column were quantitatively reduced before radioactivity measurement by passage through a conversion reactor filled with platinum chips at 800°C. Samples of 1 μL were injected in the cold on-column mode. The column was a fused silica capillary (30 m x 0.32 mm i.d.) coated with a film of 0.25 μm of polyethylene glycol (EconoCap EC-WAX, Alltech Associates) and operated with a He-flow of 1.2 mL min⁻¹. The oven temperature was programmed to 70°C for 5 min, followed by a ramp of 5° min⁻¹ to 210°C and a final time of 5 min. About 20% of the column effluent was split with an adjustable splitter to an FID (temperature 270°C). The remainder was directed to the conversion reactor and radio detector. H₂

was added prior to the reactor at 3 mL min⁻¹, and CH₄ as a quench gas prior to the radioactivity detector (5 mL counting tube) to give a total flow of 36 mL min⁻¹. Both fractions showed exactly the same radiolabeled products, with the major peak belonging to germacrene A (Fig. 2), proving that chicory contains two distinct proteins both catalyzing the formation of germacrene A from FDP. The minor peaks preceding the germacrene A peak, belong to rearrangement products of germacrene A, *viz.* α/β-selinene, and selina-4,11-diene (De Kraker et al., 1998, above).

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Example 2: Isolation of the genes encoding germacrene A synthase

a) Isolation of mRNA. Total RNA was isolated from chicory chicons using the purescript RNA isolation kit (Biozym). DNase I (Deoxyribonuclease I, RNase free) was used to remove DNA from the RNA isolate. The DNase I was removed with a phenol/chloroform extraction after which the RNA was precipitated (ethanol precipitation with NaAc). Poly(A) + RNA was extracted from 20 μ g of total RNA using 2 μ g poly-d(T)25V oligonucleotides coupled to 1 mg paramagnetic beads (Dynal A.S.). The poly(A) + RNA was resuspended in 20 μ I H₂O.

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- b) cDNA synthesis. The reverse transcription reaction was carried out in a 50 μl reaction containing 10 μl poly (A) + mixture, 0.3 μg oligo (dT)₂₅V, 1 mM each dATP, dTTP, dCTP and dGTP, 50 mM Tris-HCl pH=8.3, 80 mM KCl, 10 mM MgCl2 and catalyzed with 12 U AMV reverse transcriptase (Pharmacia). After an incubation for 2h at 42°C the reaction was stopped and the cDNA purified with the Wizard PCR Preps DNA purification system (Promega). The cDNA was resuspended in 50 μl H₂O.
- c) PCR-based probe generation.
- 20 Based on comparison of sequences of terpenoid synthases, two degenerated primers were designed for two conserved regions (Yuba et al., Archives of Biochemistry and Biophysics, **332**: 280-287,1996).

sense primer (primer A):

25 5'-TTY CAR GAY GAR AAY GGI AAR TTY AAR GA-3' (SEQ ID NO 9) wherein Y=C/T and R=G/A

anti-sense primer (primer B):
5'-CC RTA IGC RTC RAA IGT RTC RTC -3'

(SEQ ID NO 10)

30 wherein Y=C/T and R=G/A

PCR was performed in a total volume of 50 μl containing 0.5 μM of each of the two primers, 0.2 mM dNTP, 1 U Super Taq polymerase / 1x PCR buffer (HT Biotechnology LTD, Cambridge, England) and 10 μl cDNA. The reaction mixture was incubated in a thermocycler (Robocycler, Stratagene) with 1 min denaturation at 94°C, 1.5 min annealing at 42°C and 1 min elongation at

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72°C during 40 cycles. Agarose gel electrophoresis revealed a single specific PCR product of approximately 550 bp. The PCR product was purified using the Wizard PCR Preps DNA purification system (Promega) and subcloned using the pGEMT system. *E. coli* JM101 was transformed with this construct. 12 individual transformants were sequenced which resulted in two different sequences (SEQ ID NO 1 and SEQ ID NO 2, the deduced amino acid sequences (primers included) are shown in SEQ ID NO 5 and 6, respectively).

10 d) cDNA library construction and screening.

A cDNA library was constructed using the UniZap XR custom cDNA library service (Stratagene). For library screening 200 ng of both PCR amplified probes were gel-purified, randomly labeled with [α-32p]dCTP, according to manufacturer's recommendation (Ready-To-Go DNA labeling beads (-dCTP), Pharmacia) and used to screen replica filters of 10⁴ plagues of the cDNA library plated on E. coli XL1-Blue MRF' (Stratagene). The plaque lifting and hybridization were carried out according to standard protocols. Positive clones were isolated using a second and third round of hybridization. In vivo excision of the pBluescript phagemid from the Uni-Zap vector was performed according to manufacturer's instructions (Stratagene). Two groups of positive clones were obtained which could be distinguished using restriction enzymes and specific PCR primers. The cDNA sequences of two representatives of the two groups are shown in SEQ ID NO 3 and 4. These were named the "short" and "long" germacrene A synthase cDNAs (also referred to as gene 1 and gene 2 or A and B). The deduced amino acid sequences are shown in SEQ ID NOs 7 and 8, respectively. Nucleotide and amino acid sequence alignments are shown in Figures 13 and 14 respectively.

Example 3: Expression of the isolated genes in E. coli

For functional expression, the cDNA clones were subcloned in frame into the expression vector pET 11d (Stratagene). To introduce suitable restriction sites for subcloning, gene A was amplified by PCR using the following sense and anti-sense primers:

35 sense primer:

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5'-CAA TCC GAA CCA TGG CTC TCG TT-3'

(SEQ ID NO 11)

(introducing an Ncol site at the start codon ATG)

anti-sense primer:

5'- CAC CAA ATG GAT CCA AAT TCG C-3' (SEQ ID NO 12)

5 (introducing a BamHI site behind the stop codon TGA).

Gene B was amplified by PCR using the following sense and anti-sense primers:

10 sense primer:

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5'-CCT TCA AGC CAT GGC AGC AGT TG-3' (SEQ ID NO 13) (introducing an *Ncol* site at the start codon **ATG**)

anti-sense primer:

15 5'-TTG TAA TAG GAT CCA CTA TAG G-3' (SEQ ID NO 14) (introducing a *BamH*I site behind the stop codon **TGA**)

The PCR reaction was performed under standard conditions. After digestion with *BamHI* and *NcoI*, the PCR product and the expression vector pET 11d were gel purified and ligated.

The two constructs and pET 11d without an insert (as negative control) were transformed to *E. coli* BL 21 (DE3) (Stratagene), and grown overnight on LB agar plates supplemented with ampicillin at 37°C. Cultures of 50 ml LB medium supplemented with ampicillin (100 μ g/ml) and 0.25 mM isopropyl-1-thio- β -D-galactopyranoside (IPTG) were occulated with these over night cultures to A₆₀₀ = 0.5 and grown for 3 h at 27°C. The cells were harvested by centrifugation during 8 minutes at 2000 g and resuspended in 1.2 ml buffer B containing 1 mM sodium ascorbate (buffer C). The resuspended cells were sonicated on ice during 4 min (5 sec on, 30 sec off), centrifuged for 5 minutes at 4°C (14.000 rpm) and the supernatant used for assays.

Example 4: Verification of product identity of cDNAs expressed in *E. coli*For determination of product identity, 20 µM [³H]-FDP was added to 0.5 mL of the enzyme preparations diluted 1:1 with buffer C containing 0.1% tween-20. After the addition of a 1-mL redistilled pentane overlay, the tubes were

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carefully mixed and incubated for 1 h at 30°C. Following the assay, the tubes were mixed, the organic layer was removed and passed over a short column of aluminum oxide overlaid with anhydrous MgSO₄. The assay was reextracted with 1 mL of pentane:diethyl ether (80:20), which was also passed over the aluminum oxide column, and the column washed with 1.5 mL of pentane:diethyl ether (80:20). The extract was analyzed using radio-GLC as described above (Example 1) and using GC-MS as described below. Radio-GLC analysis showed that both cDNAs formed functionally active proteins catalyzing the formation of three or more radiolabeled sesquiterpenes from [3H]-FDP (Fig. 3). The negative control (vector without insert) produced no radioactivity. The samples were also analyzed by GC-MS using a HP 5890 series II gas chromatograph equipped with an HP-INNOWax column (30 m x 0.25 mm i.d., 0.25 µm df) and HP 5972A Mass Selective Detector (Hewlett-Packard). The oven was programmed at an initial temperature of 70°C for 1 min, with a ramp of 5°C min⁻¹ to 210°C and final time of 5 min. The injection port (splitless mode), interface and MS source temperatures were 150, 290 and 180°C, respectively, and the He inlet pressure was controlled by electronic pressure control to achieve a constant column flow of 1.0 mL/min. Ionization potential was set at 70 eV, and scanning was performed from 30-250 amu. The negative control produced no sesquiterpenes (Fig 4(a)), whereas in assays with the expression products of both the short (Fig. 4(b)) and the long cDNA (Fig. 4(c)) four different sesquiterpenes could be detected: selina-4,11-diene (1), β -selinene (2), α -selinene (3), and germacrene A (4) as major product. The identity of the latter was confirmed by analysis of an authentic standard of germacrene A (courtesy of Dr W.A. König) (Fig. 4(d)), and comparison of the mass spectra with the authentic standard (Fig. 5). The other three products 1,2,3 are rearrangement products of germacrene A, i.e. they are not produced enzymatically (Teisseire, P.J., Chemistry of fragrant substances, VCH Publishers Inc., USA, 1994; De Kraker, J-W. et al., *Plant Physiology* 117: 1381-1392, 1998).

The chirality of the germacrene A produced by the two genes was assessed by GC-MS using the fact that the high-temperature-induced Coperearrangement of germacrene A to B-elemene occurs with retention of stereochemical configuration at C7 (De Kraker et al, 1998, above). GC-MS analysis was carried out essentially as described above, but the GC was equipped with a 25 m (0.25 mm i.d.) heptakis (6-O-TBDMS-2,3-di-O-methyl)-

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β-cyclodextrin (50% in OV17) column that is able to separate the enantiomers of B-elemene (König et al., J. High Resolut. Chromatogr. 17: 315-320, 1994). The oven temperature was programmed to 45°C for 4 min followed by a ramp of 2°C min⁻¹ to 170°C, and spectra were recorded in scan or Selected Ion Monitoring mode (m/z 121, 147 and 189). The injection port temperature was either 150°C (no Cope-rearrangement of germacrene A) or 250°C (Cope-rearrangement of germacrene A to B-elemene). A standard of (+)- and (-)-B-elemene was co-injected with the germacrene A produced by the two clones. Figs 6A and C show the chromatograms of the short and the long clone, respectively, with co-injection of (+)- and (-)-B-elemene at an injection port temperature of 150°C. Germacrene A (6) is by far the major product, with small amounts of the proton-induced rearrangement products α-selinene (3), β-selinene (4) and selina-4,11-diene (5). The two enantiomers of B-elemene are separated: (+)-B-elemene (1) and (-)-B-elemene (2). When the injection port temperature is increased only the (-)-enantiomer of Belemene is formed from the germacrene A of both clones. This was demonstrated using scan measurements also without co-injection with the Belemene standard (data not shown). Upon co-injection with the (+)- and (-)-Belemene standard and using a high injection port temperature, (only) the (-)-B-elemene peak areas increase for both clones (Figs 6B,D). This proves that the germacrene A produced by both clones is exclusively rearranged to (-)-Belemene, implying that both clones produce exclusively (+)-germacrene A (De Kraker et al, 1998, above). Thus it was concluded that the two sequences encode iso-enzymes of germacrene A synthase (also referred to as "long" and "short" or A and B).

Example 5: Expression pattern of the two genes in chicory

The expression pattern of the two germacrene A synthase genes was investigated in Chicory by Northern blot analysis. RNA from different tissues was isolated using the Wizard system (SV Total RNA Isolation System, Promega) according to the procedure recommended by the manufacturer. Of each sample 2 µg of total RNA, treated with DMSO glyoxal, was separated on a 1% gel and blotted onto Hybond-N+ nylon membrane using 7.5 mM NaOH as described by Sambrook et al (Molecular cloning, second edition, 1989, 7.40-7.50). To fix the RNA, the membrane was exposed to Ultraviolet

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light (254 nm). Prehybridization and hybridization itself were carried out according to the procedures recommended by the manufacturer (Amersham) in a solution containing 5 x SSPE, 5 x Denhardt's solution, 0.5 % SDS and 0.1 mg/ml herring sperm DNA. Subsequently, the blots were washed at 65°C with 0.1 x SSPE and 0.1 % SDS and exposed to a Fuji Photo Film. The pattern on the film was analyzed using the BAS 2000 Image Plate Scanner. The probe used in the hybridization step was generated using the Ready to go system according to the procedure recommended by the manufacturer (Pharmacia). As templates for the probes (gel-) purified PCR fragments of the genes to be analyzed were used.

There were marked differences in the expression of the two genes in the different tissues tested (Figure 7(a),(b)). The short gene was expressed particularly in the root tissues (about equally in the outer and core tissue) and in the seedlings, but hardly in the chicon and the green leaves. The long gene was expressed strongest in the root outer tissue, and much less in the root inner tissue. It was expressed at a simmilar level in chicon tissue and seedlings and much lower in green leaves.

These results suggest that in order to reduce bitterness in the chicon tissue of Chicory inhibition of the long gene could be sufficient.

Example 6: Germacrene A synthase activity in other plants

In addition to chicory, several other crops belonging to the Compositae have been shown to contain bitter sesquiterpene lactones (Price et al., 1990, above). It was therefor investigated whether germacrene A synthase activity could be detected in other lettuce, endive and chicory varieties containing these lactones. Etiolated seedlings of seven different varieties were grown for a period of 8 days at 20°C in darkness after which an enzyme extract was made of the seedlings. These extracts were incubated with radiolabeled farnesyl diphosphate, the ubiquitous precursor of sesquiterpenes. Radio-GC analysis was performed on these samples.

All seven samples displayed germacrene A synthase activity (peak 1, Figure 8). The identity of peak 1 was confirmed using GC-MS, of which one example is shown in Figure 9. The fronting of peak 1 and 2 represents selina-4,11-diene and α - and β -selinene, acid-induced rearrangement products of germacrene A (De Kraker et al., 1998, above) Peak 3 represents farnesol,

produced from FDP by non-specific phosphohydrolase activity. These results strongly suggest that also in other lettuce, endive and chicory varieties, sesquiterpene lactone biosynthesis proceeds via the central intermediate germacrene A.

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Example 7: Transformation of tobacco and tomato with the germacrene A synthase gene(s) to obtain (increased) germacrene A or sesquiterpene lactone formation

To induce or upregulate the production of sesquiterpene lactones or the production of germacrene A in transgenic plants, plants were transformed using plasmids comprising a sesquiterpenoid synthase modulating gene comprising a sequence encoding protein or polypeptide having germacrene A synthase activity, placed under the control of a suitable plant-expressible promoter, such as a constitutive promoter.

Tobacco transformation. For the transformation of Nicotiana tabacum (Petit Havana SR1) leaf disks were immersed in an antibiotic-free suspension of the A. tumefaciens strain LBA4404 transformed with pBI.E(LB medium, OD600 = 0.5). The pBI.E construct is a derivative of pBI121 in which the gus gene was replaced by the long germacrene A gene. The infected leaf disks were incubated on co-cultivation medium (MS, 20 g/l sucrose, 1 mg/l NAA, 0.2 mg/l BAP, 6 g/l agar pH 5.8) for 3 days at 25°C under continuous dimmed light. To select and regenerate transformed tissue the leafdisks were transferred several times to selection medium (MS, 20 g/l sucrose, 1 mg/l zeatin, 0.1 mg/l NAA, 150 mg/l cefotaxine, 150 mg/l vancomycin, 100 mg/l kanamycin, 6 g/l agar, pH 5.8) at intervals of 7-14 days and incubated at 25°C under continuous dimmed light. The regenerated shoots were maintained on MS media supplemented with 20 g/l sucrose and 100 mg/l kanamycin.

Transgenic plants were screened for the activity of the introduced gene by examining sesquiterpene synthase activity in enzyme extracts. Hereto, 100 mg of tissue, ground in liq N2, was extracted in a 2-ml Eppendorf vial, using a plastic probe to further homogenize the tissue, in 1.0 ml of extraction buffer containing containing 50 mM Mopso (pH 6.8), 20% (v/v) glycerol, 50 mM sodium ascorbate, 50 mM NaHSO3, 1% PVP-40, 10 mM MgCl2 and 5 mM DTT. After extraction, the samples were centrifuged for 20 min at 20,000g, at

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4 °C 10 μL of the supernatant were diluted 10 fold with assay buffer containing 15 mM Mopso (pH 7.0), 10% (v/v) glycerol, 10 mM MgCl2, 1 mM sodium ascorbate, 2 mM DTT and 6 mM sodium orthovanadate (an inhibitor of phosphohydrolase activity). After addition of 15 μM 3H-FPP (at 65 Ci mol-1), the reaction mixture was overlaid with 1 mL of hexane to trap volatile products and the contents carefully mixed. After incubation for 30 min at 30°C, the vials were vigorously mixed, and centrifuged (2 min 15.000g 4°C) to separate phases. A 750-μL portion of the hexane phase was transferred to a second Eppendorf vial containing approx. 20 mg silica to bind sesquiterpene alcohols produced by any remaining phosphohydrolase activity, vigorously mixed and centrifuged. (10 min 15.000g 4°C) 500 μL of hexane were removed from these vials for liquid scintillation counting in 4.0 ml of Ultima Gold cocktail (Packard). All assays were performed in duplicate.

The wildtype and GUS-construct controls all exhibited a similar sesquiterpene synthase activity (Figure 10 (b)), which is due to the presence of endogenous tobacco sesquiterpene synthases, such as for example the tobacco epi-aristolochene synthase (Facchini and Chappell, 1992). The transformants E24.4, 26, 12.2, 24.3, 26.2 and 25.3 exhibited a significantly higher sesquiterpene synthase activity when compared with the 6 controls showing that transformation with the germacrene A synthase resulted in increased sesquiterpene synthase activity (Figure 10 (c)). Subsequently, the sesquiterpene synthase activity of a number of transformants was assessed on a larger scale. Hereto, 500 mg of ground tissue was homogenized in 4 ml of the extraction buffer described above, and centrifuged for 20 min at 20,000g. The supernatant was desalted to the assay buffer described above. After addition of 50 µM unlabelled FPP to 1 ml of this desalted extract, the reaction mixture was overlaid with 1 ml of redistilled pentane and incubated for 60 min at 30°C. The assays were worked up, and analyzed using GC-MS as described under Example 6. GC-MS analysis showed the presence of several sesquiterpenes in both control and putative transgenic plants. However, transgenic regenerant E12.2 showed a large peak, which was not present in the control samples, with the mass spectrum and retention time of the authentic germacrene A standard (Figure 10(a)). The fact that high injection port temperature causes this peak to shift to a lower retention time

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(of β -elemene) confirms that indeed the germacrene A synthase gene was functionally expressed in tobacco.

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Tomato transformation. A tobacco (Nicotiana bentiana) cell suspension culture (TCSC) in a liquid NIBE medium (4.4g/I MS salts with B5 vitamins, 3% sucrose, 0.2mg/l BAP, 0.2mg/l 2,4-D NAA, pH 5.8) was set up and used for feederlayers. The cultures were grown for at least 2 weeks on a shaker at 25°C.

A feederlayer consists of 2 ML of a tobacco cell suspension culture incubated on NIBE plates (NIBE medium, 0.8% purified agar (Oxoid), pH 6.0). The feederlayer was set to grow overnight, covered with 4 folds of filter paper, in a culture room (25°C) under low light conditions. The agrobacterium strain harbouring the germacrene A construct were streaked out on LB/RIF/KAN plates (10g/I Bacto tryptone, 5g/I Bacto yeast extract, 10g/I NaCl, 15g/I Bacto agar, pH 7.0, 100mg/l riframycin, 50mg/l kanamycin.

The plates were set to grow for three days at 28°C under dark conditions. A single colony was inoculated in YEP-selection medium (10g/l yeast extract, 10g/l pepton, 5g/l NaCl, pH 7.0, 0.1M glucose, 50mg/l kanamycin, 50mg/l riframycin, 100μM acetosyringone) and set to shake overnight at 28°C.The selection culture was spin down (2500 rpm 15 minutes) and re-suspended in MS20 (4.4g/I MS salts including vitamins, 2% sucrose, pH 5.8).

This Agrobacterium suspension, with an OD600 of 0.2, was used to immerse the explants. The tomato cultivar 'Micro-Tom' (Lycopersicon flavour) was used (Scott and Harbaugh, 1989). The plants were grown from seeds provided by a seed company (Beekenkamp seed, Holland). Micro-Tom seeds were first sterilised. A rinse in 70% ethanol followed by a two hour bleaching in 1.5% HClO4. After bleaching, the seeds were quickly rinsed in water twice and then washed in water for ten and sixty minutes. After sterilisation, seeds were sowed in pots, containing 80ml vermiculite and 70ml of germination medium containing 4.4g/l MS salts with vitamins and 0.5% sucrose (pH 5.8).

After 7 days of growth in a culture room (25°C), covered with 2 folds of filter paper, the cotyledons were cut under water near the petiole and the tip with a rolling action of the scalpel, to minimize damage. The explants were placed on their backs on filter paper on feederlayers to incubate overnight in the culture room (25°C), covered with 4 folds of filter paper, under low light conditions. After incubation, the explants were immersed in the

The down-regulation of genes in plants is achieved in different ways, e.g. through sense and anti-sense inactivation. In order to inhibit the activity of endogenous germacrene A synthases to obtain plant species with decreased production of germacrene A or products derived thereof, for example sesquiterpene lactones, plant species such as chicory and lettuce (and other sesquiterpene lactone producing species) are transformed by the techniques described above using plasmids containing genes coding for the germacrene A synthase(s) in either sense (to obtain co-suppression) or anti-sense orientation.

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In order to test the impact of both genes on the production of sesquiterpenoids and the bitter taste of Chicory plants, constructs were made to inhibit either one or both germacrene A synthase genes together. Based on the expression pattern of the genes (Example 5) it was expected that for reduction of bitterness in the chicon, inhibition of the long gene alone could be sufficient.

The coding regions of the two genes encoding isoenzymes of germacrene A synthase of the present invention have a sequence similarity of 67%. Within the region encoding what is believed to be the "active domain" of the enzyme (stretching from about 40 amino acids before to about 140 amino acids behind the conserved DDXXD sequence) the sequence similarity between the two genes is 82.4%. Therefore, constructs are developed which comprise more than one DNA sequence whereby one DNA sequence comprises a DNA encoding an RNA with a sequence similarity to all or part of the sequence of SEQ ID NO 3, or the complementary strand thereof and the other encodes an RNA sequence with a sequence similarity to all or part of the sequence of SEQ ID NO 4, or the complementary strand thereof. The nucleic acid molecule used preferably comprises two sequences whereby the first sequence is identical to the complement of the second sequence, possibly separated by a spacer sequence, so as to form inverted repeats. The DNA sequences are placed under the control of adequate promoters, such as the 35S promoter, and terminator sequences and are introduced into chicory by Agrobacterium mediated transformation.

Examples of the DNA sequences comprise:

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Agrobacterium suspension for 20 minutes. After immersion, the explants were placed back on feederlayers for co-cultivation, following a rinse in a solution containing 400mg/l carbenicillin and 100mg/l tricarcillin. The explants were placed in callus inducing medium (4.4g/l MS salts with Nitsch vitamins, 3% sucrose, 0.8% purified agar (Oxoid), pH 6.0, 2mg/l zeatin, 400mg/l carbenicillin, 100mg/l tricarcillin, 100mg/l kanamycin). The plates were covered with 2 folds of filter paper and set to grow in a culture room (25°C) under low light conditions for 3 weeks. Formed callus was transferred to shoot inducing medium (as callus inducing medium, but with 1mg/l zeatin, 200mg/l carbenicillin, 50mg/l tricarcillin).

These plates were set to grow under the same conditions as the callus-inducing plates. Shoots formed were transferred to rooting medium in pots (4.4g/l MS salts with Nitsch vitamins, 3% sucrose, 0.5% agargel (Sigma), pH 6.0, 0.25mg/l IBA, 50mg/l kanamycin, 400mg/l carbenicillin. The growing conditions remained the same. Fully-grown plants were subsequently transferred to the greenhouse.

Sesquiterpene synthase activity was tested as described above for tobacco, but with 10 μ M 3H-FPP as substrate. A number of transformants were found to have significantly higher (up to 4 to 5-fold) sesquiterpene synthase activity, as a result of the transformation with the germacrene A synthase construct (Figure 11 (c)).

The transgenic tobacco and tomato plants are then tested for their resistance against insects and micro-organisms. This is done by infesting control and transgenic plants with set numbers of pests and evaluating infestation of the plants after periods of 1, 2, 5, 7 and 14 days.

Example 8: Transformation of chicory with the germacrene A synthase gene(s) to obtain reduced bitterness

Based on the results described above, the sequences encoding germacrene A synthase are used to make transgenic plants showing reduced germacrene A synthase activity.

The down-regulation of genes in plants is achieved in different ways, e.g. through sense and anti-sense inactivation. In order to inhibit the activity of endogenous germacrene A synthases to obtain plant species with decreased production of germacrene A or products derived thereof, for example sesquiterpene lactones, plant species such as chicory and lettuce (and other sesquiterpene lactone producing species) are transformed by the techniques described above using plasmids containing genes coding for the germacrene A synthase(s) in either sense (to obtain co-suppression) or anti-sense orientation.

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In order to test the impact of both genes on the production of sesquiterpenoids and the bitter taste of Chicory plants, constructs were made to inhibit either one or both germacrene A synthase genes together. Based on the expression pattern of the genes (Example 5) it was expected that for reduction of bitterness in the chicon, inhibition of the long gene alone could be sufficient.

The coding regions of the two genes encoding isoenzymes of germacrene A synthase of the present invention have a sequence similarity of 67%. Within the region encoding what is believed to be the "active domain" of the enzyme (stretching from about 40 amino acids before to about 140 amino acids behind the conserved DDXXD sequence) the sequence similarity between the two genes is 82.4%. Therefore, constructs are developed which comprise more than one DNA sequence whereby one DNA sequence comprises a DNA encoding an RNA with a sequence similarity to all or part of the sequence of SEQ ID NO 3, or the complementary strand thereof and the other encodes an RNA sequence with a sequence similarity to all or part of the sequence of SEQ ID NO 4, or the complementary strand thereof. The nucleic acid molecule used preferably comprises two sequences whereby the first sequence is identical to the complement of the second sequence, possibly separated by a spacer sequence, so as to form inverted repeats. The DNA sequences are placed under the control of adequate promoters, such as the 35S promoter, and terminator sequences and are introduced into chicory by Agrobacterium mediated transformation.

Examples of the DNA sequences comprise:

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- the DNA of SEQ ID NO 3 and 4 (or parts thereof), behind a suitable promoter and upstream of a suitable terminator
- the DNA of SEQ ID NO 3 and 4 (or parts thereof), each behind a suitable promoter and upstream of a suitable terminator
- 5 the DNA of SEQ ID NO 3 and 4 (or parts thereof), without a promoter
 - two copies of SEQ ID NO 3 and 4 (or parts thereof) behind a promoter and upstream of a terminator
 - a fragment of one of the two DNA's of SEQ ID NO 3 or SEQ ID NO 4 (or parts thereof) exhibiting a sequence similarity of preferably ≥80% to the other DNA of SEQ ID NO 3 or 4, behind a promoter and upstream of a terminator
 - both DNA's of SEQ ID NO 3 and SEQ ID NO 4 (or parts thereof) and an additional upstream inverted copy of the 5' end (with or without the untranslated region) of one or of both, each behind a suitable promoter (or together behind one suitable promoter, such as a 35S promoter) and upstream of a suitable terminator (Hamilton et al., 1998, above; Waterhouse et al., 1998, above). Examples of such panhandle constructs are illustrated in Figure 11 (A, B, C).
 - the cDNAs of SEQ ID NO 3 and SEQ ID NO 4, or a fragment thereof, displaying at least 70% homology to each other, whereby one is place in sense and one in anti-sense orientation, placed between a suitable promoter and terminator (Figure 11(D)).

The activity of the promoter, and hence the effectiveness of the cosuppression may be increased by including suitable enhancer elements behind the promoter, upstream of the coding sequence(s).

Chicory transformation: seeds were sterilized in a 2% sodiumhypochlorite solution during 20 minutes. After three rinses, the seeds were sown on MS20 in containers and grown at 27°C in light. Between 4 and 8 weeks after sowing the leaf-explants can be cut for transformation purposes. These explants (4x6mm) of which all sides are cut are ented with the backside onto the medium and pre-cultured for one day in MSN20 (Murashige & Skoog salts, Nitsch & Nitsch (1965) vitamins, 2.0 mg/l Glycine, 20 g/l sucrose, 8g/l agar, pH 5,8) medium containing 1mg/ml BA + 0.2 mg/ml NAA. The pre-cultured

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leaf pieces were infected by submersing them for 20 minutes in a diluted bacterial suspension (OD 0.01 and 0.1). After removal of excess suspension the pieces were replaced on the pre-culture trays. After two days of co-cultivation, the leaf pieces were rinsed in MS20 medium for 30 minutes. They were then incubated on MSN20 containing 1 mg/ml BA and 0.1 mG/l NAA and 100 mg/l kanamycin (with 200 mg/l carbenicillin and 200 mg/l Claforan) at 27° in light. Selection for PPT was done on MSN medium containing 1mg/ml BA and 0.1 mg/l NAA and 2x10⁻⁵M DL-PPT (200 mg/l Carbenecillin and 200 mg/l Claforan). The leaf pieces were transferred to fresh selection medium every 14 days. About 5 weeks after cutting of the explants the selection medium was changed to MS 20 containing 0.1-1 mg/l BA and 100 mg/l kanamycin (200 mg/l Carbenecillin and 200 mg/l Claforan).

Chicory was transformed with constructs 1 and 4 (Figure 11), as well as the sense construct harboring the long DNA that was also used to transform tobacco and tomato (Example 7). After regeneration, leaf samples of 2-4 cm large regenerants were tested for germacrene A synthase activity as described in Example 7. For all three constructs there were a number of individuals with significantly reduced germacrene A synthase activity (and thus reduced amounts of bitter sesquiterpene lactones (Figure 12).

Claims

- An isolated polynucleic acid comprising a nucleotide sequence encoding a protein or polypeptide having the biological activity of a germacrene A synthase.
- The isolated polynucleic acid of claim 1, wherein said nucleotide sequence encodes a protein or polypeptide with an amino acid sequence having at least 70% sequence similarity to the sequence of SEQ ID NO 7 or SEQ ID NO 8.
- 3. The isolated polynucleic acid of claim 2, wherein said nucleotide sequence encodes a protein or polypeptide with an amino acid sequence having at least 70% sequence similarity with the sequence of SEQ ID NO 7, between amino acid 271 and amino acid 455, or with the sequence of SEQ ID NO 8, between amino acid 291 and 477.
- 4. The isolated polynucleic acid of claim 1, wherein said nucleotide sequence encodes the amino acid sequenc of SEQ ID NO 7.
- 5. The isolated polynucleic acid of claim 1, wherein said nucleotide sequence encodes the amino acid sequence of SEQ ID NO 8.
- The isolated polynucleic acid of claim 1, wherein said nucleotide sequence
 has at least 70% sequence similarity to the nucleotide sequence of SEQ ID
 NO 3 or SEQ ID NO 4, or the complement thereof.
- 7. The isolated polynucleic acid of claim 1, wherein said nucleotide sequence has at least 95% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complement thereof.

- 8. The isolated polynucleic acid of claim 7, wherein said nucleotide sequence is the nucleotide sequence of SEQ ID NO 3, or the complement thereof.
- 9. The isolated polynucleic acid of claim 7, wherein said nucleotide sequence is the nucleotide sequence of SEQ ID NO 4, or the complement thereof.
- 10. A process for producing a plant with modified sesquiterpenoid synthase activity, said process comprising introducing into the genome of a plant cell a recombinant DNA which when expressed in a plant cell modifies the expression of a sesquiterpenoid synthase encoded by a polynucleic acid of any of claims 1 to 9 in said cell.
- 11. A process for producing a plant with reduced bitterness in some or all of its plant parts, said process comprising reducing the expression of an endogenous sesquiterpenoid synthase gene in said plant.
- 12. The process of claim 11, comprising
 - (a) introducing into the genome of a plant cell one or more recombinant DNAs, said recombinant DNAs comprising:
 - a DNA encoding an RNA, protein or polypeptide, which when expressed in said plant cell inhibits or reduces the expression of an endogenous sesquiterpenoid synthase in said cell, and
 - a plant-expressible promoter, whereby said DNA is in the same transcriptional unit and under the control of said plant-expressible promoter; and
 - (b) regenerating said plant from said plant cell or tissue
- 13. The process of claim 12, wherein said sesquiterpenoid synthase is a germacrene A synthase.

- 14. The process of claim 13, wherein said DNA encodes a sense or anti-sense RNA capable of inhibiting or reducing the expression of said endogenous germacrene A synthase.
- 15. The process of claim 14, wherein said DNA comprises a sequence having at least 70% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complementary strand thereof.
- 16. A process for producing a plant with increased pest resistance, said process comprising increasing or inducing the expression of a protein of polypeptide having germacrene A synthase in said plant or having the activity of an enzyme involved in the production of sesquiterpenoid lactones from germacrene A.
- 17. The process of claim 16, comprising
 - (a) introducing into the genome of a plant cell or tissue a recombinant DNA comprising:
 - a DNA encoding a protein or polypeptide having germacrene A synthase activity, and
 - a plant-expressible promoter; said DNA being in the same transcriptional unit and under the control of said plant-expressible promoter; and
 - (b) regenerating said plant from said plant cell or tissue
- 18. The process of claim 17, wherein said DNA encodes a protein or polypeptide having at least 70% sequence similarity to the amino acid sequence of SEQ ID NO 7 or with the sequence of SEQ ID NO 8.
- 19. The process of claim 17, wherein said DNA comprises a sequence having at least 70% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4.

- 20. The process of claim 17, wherein said DNA comprises the sequence of SEQ ID NO 3 or SEQ ID NO 4.
- 21. A recombinant polynucleic acid comprising
 - (a) a DNA encoding an RNA or protein, which when expressed in a cell of a plant either induces, increases or decreases the expression of germacrene A synthase in said cell, and
 - (b) a plant-expressible promoter; wherein said DNA is in the same transcriptional unit and under the control of said plant expressible promoter.
- 22. The recombinant polynucleic acid of claim 21, wherein said DNA encodes an antisense RNA, a ribozyme or a sense RNA, which when expressed in a cell of a plant decreases the expression of an endogenous germacrene A synthase in said cell.
- 23. The recombinant polynucleic acid of claim 21, wherein said DNA has at least 70% sequence similarity to the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or the complementary strand thereof.
- 24. The recombinant polynucleic acid of claim 23, wherein said polynucleic acid comprises the nucleotide sequence of SEQ ID NO 3 or SEQ ID NO 4, or part thereof.
- 25. The recombinant polynucleic acid of claim 21, wherein said DNA comprises a first nucleotide sequence having at least 70% sequence similarity to the complementary sequence of SEQ ID NO 3 or SEQ ID NO 4, or part thereof, and
 - a second nucleotide sequence having at least 70% sequence similarity to the sequence of SEQ ID NO 3 or SEQ ID NO 4, or part thereof, and optionally,

- a spacer sequence between said first and said second sequence.
- 26. The recombinant polynucleic acid of claim 25, wherein said first nucleotide sequence is complementary to part of said second sequence.
- 27. The recombinant polynucleic acid of claim 21, wherein said DNA comprises
 - a first nucleotide sequence having at least 70% sequence similarity to the sequence of SEQ ID NO 3 or SEQ ID NO 4, or part thereof, and
 - a second nucleotide sequence having at least 70% sequence similarity to the sequence of SEQ ID NO 3 or SEQ ID NO 4, or part thereof.
- 28. The recombinant polynucleic acid of claim 21, wherein said DNA encodes a protein or polypeptide with germacrene A synthase activity.
- 29. The recombinant polynucleic acid of claim 28, wherein said DAN encodes a protein or polypeptide having at least 70% sequence similarity to the sequence of SEQ ID NO 7 or SEQ ID NO 8.
- 30. The recombinant polynucleic acid of any one of claims 21 to 29, wherein said plant-expressible promoter is the promoter of an endogenous germacrene A synthase gene.
- 31. The recombinant polynucleic acid of any one of claims 21 to 29, wherein said plant-expressible promoter is a constitutive promoter.
- 32. The recombinant polynucleic acid of any one of claims 21 to 29, wherein said plant-expressible promoter is an inducible or a tissue-specific promoter.
- 33. A cell of a plant, transformed with the recombinant polynucleic acid of any one of claims 21 to 32.

- 34. A plant consisting essentially of the plant cells of claim 33.
- 35. The plant of claim 34, which is selected from the group of the genera Carum, Chichorium, Daucus, Juniperus, Chamomilla, Lactuca, Pogstemon, and Vetivera.
- 36. The seed of a plant of claim 35, comprising said recombinant DNA.
- 37. A probe which is part of a polynucleic acid sequence according to any of claims 1-9 and which hybridizes specifically with said polynucleic acid or the completement thereof.
- 38. A primer derived from a polynucleic acid sequence according to any of claims 1-9 and which specifically amplifies with said polynucleic acid or the completement thereof.
- 39. A process for producing a plant with reduced bitterness in some or all of its plant parts, said process comprising reducing the production of germacrene A opr a sesquiterpenoid lactone derived from germacrene A in said plant.

Figure 1

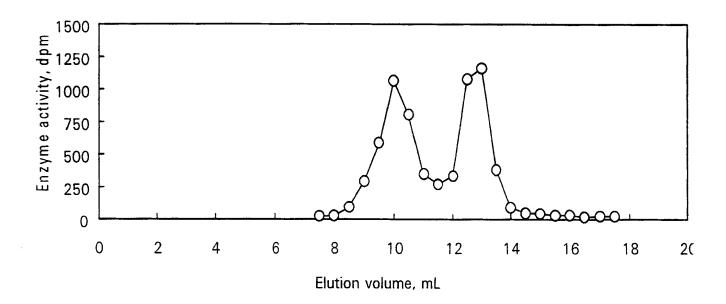


Figure 3

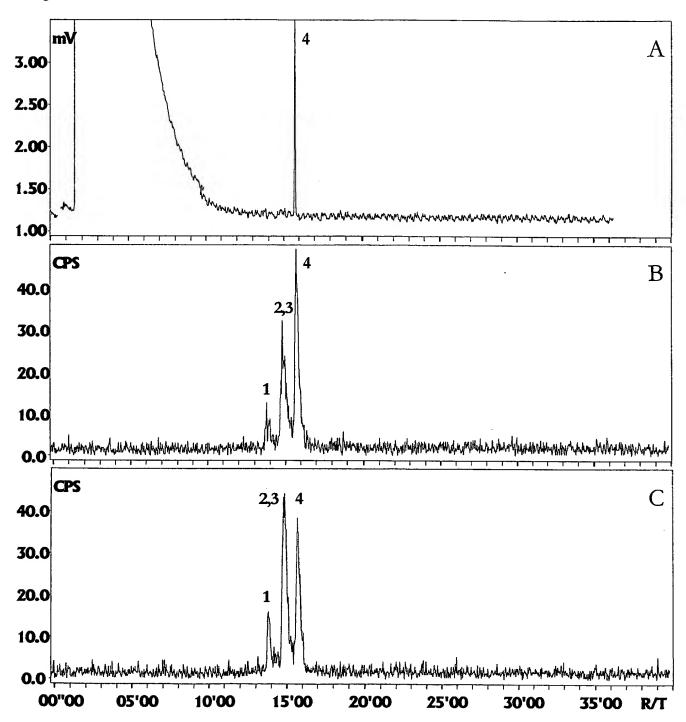
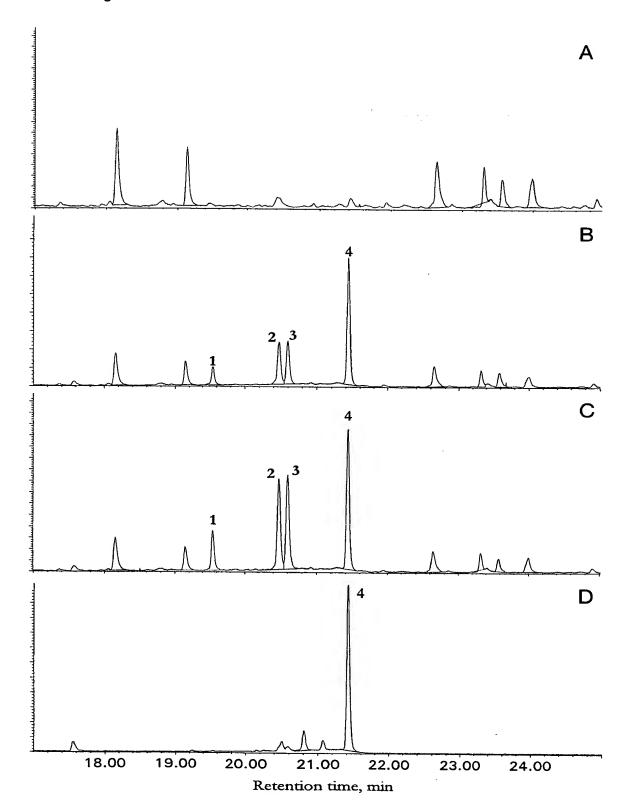
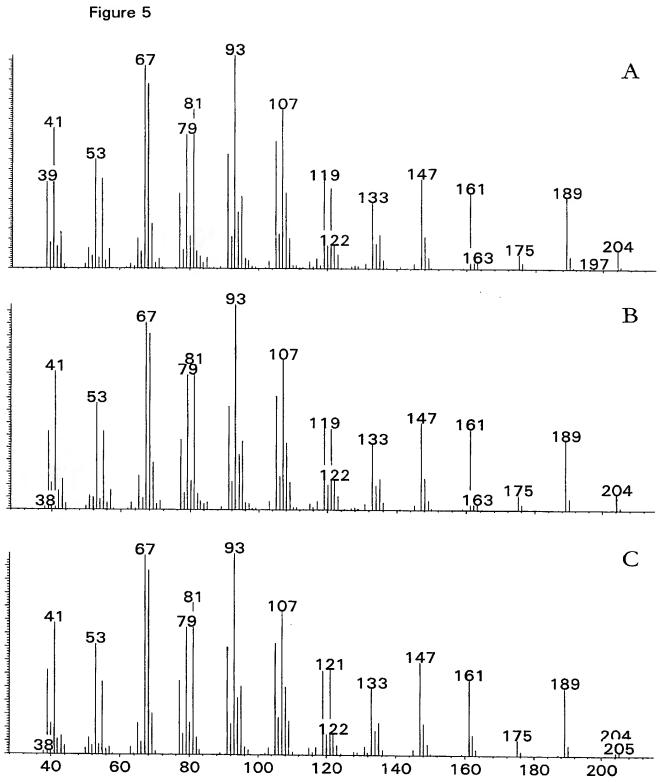


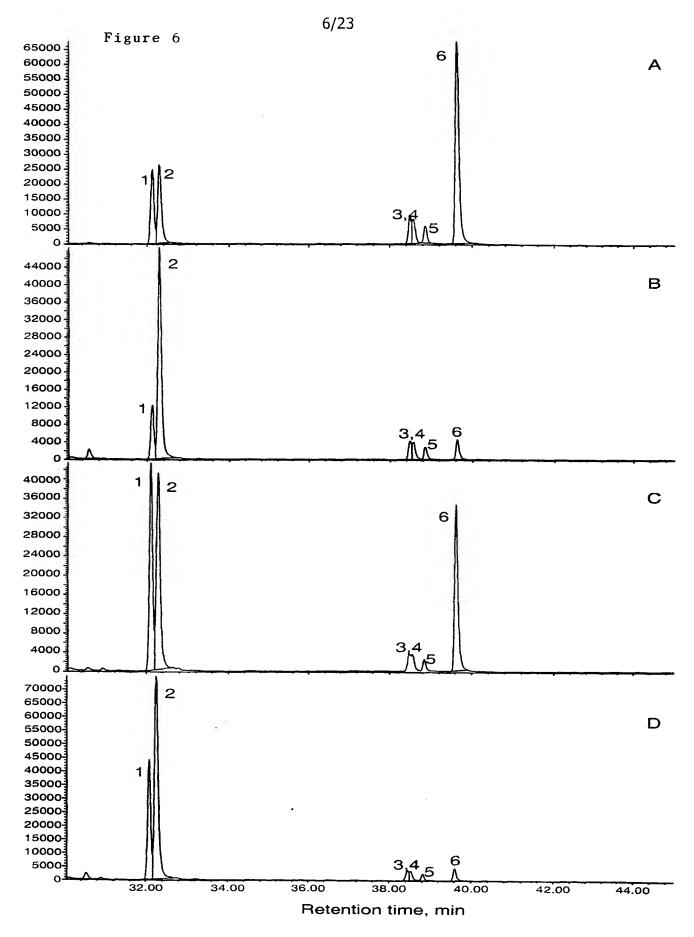
Figure 4





m/z

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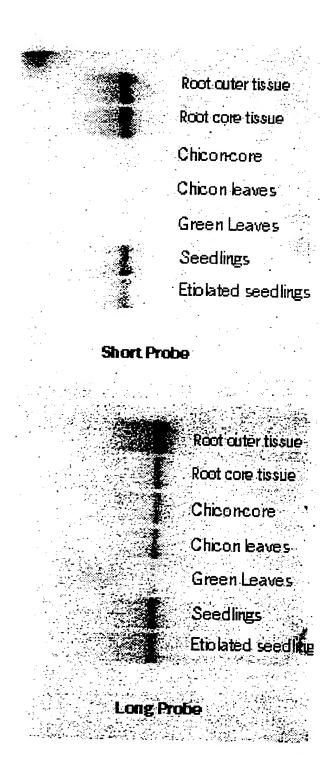


Figure 7 (a)

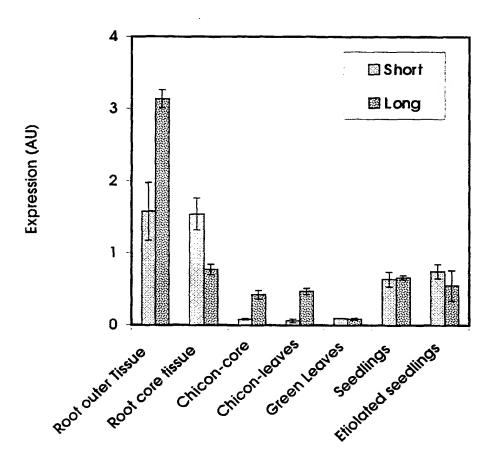


Figure 7 (b)

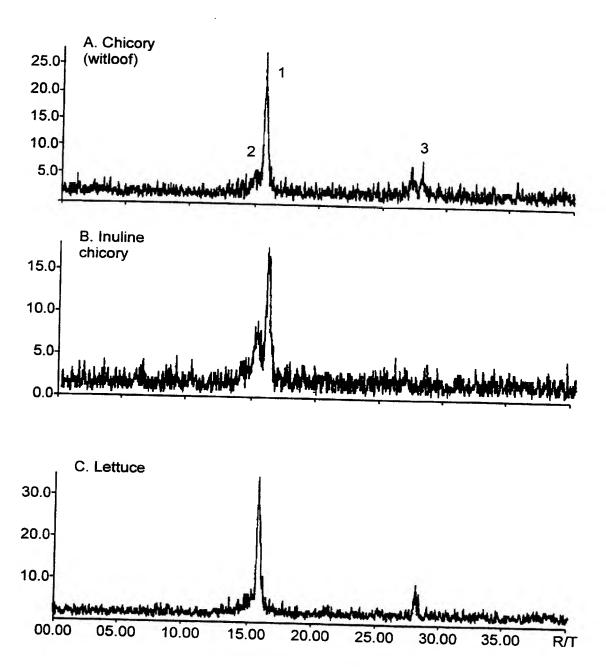
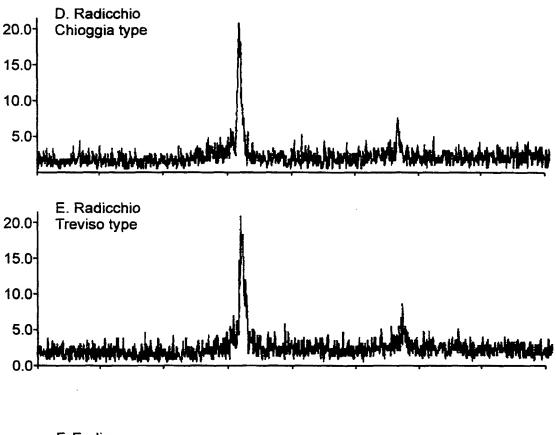


Figure 8 part 1



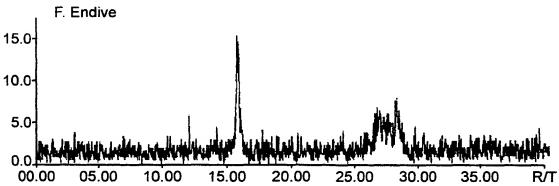
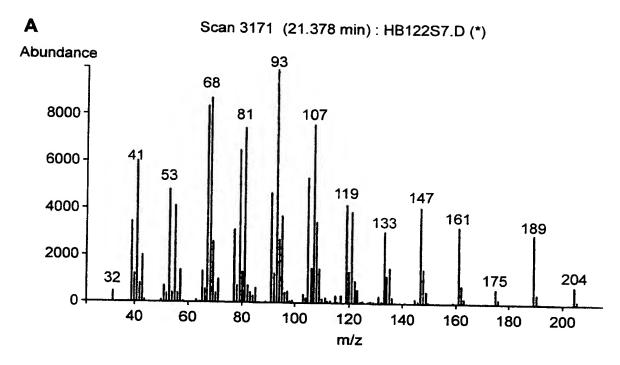
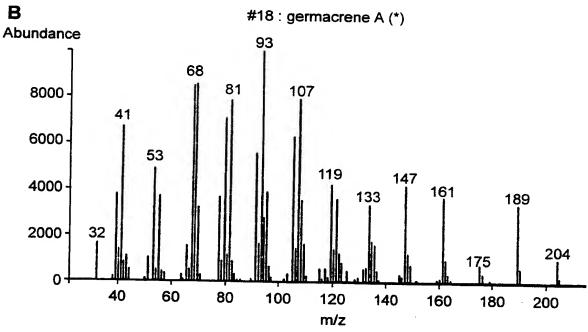
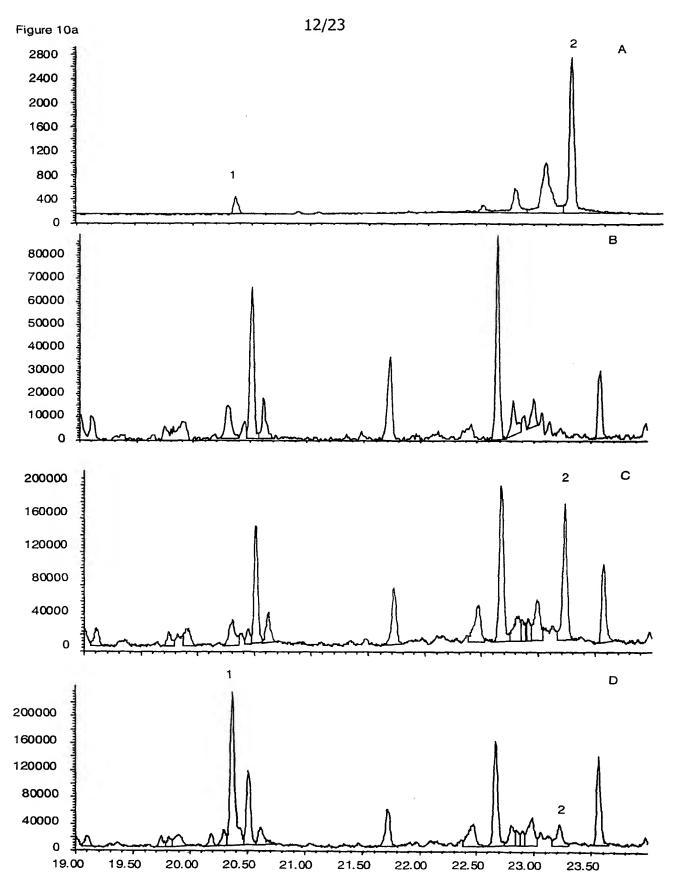


Figure 8 part 2





Figur 9



Retention time, min

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Figure 10 (b)

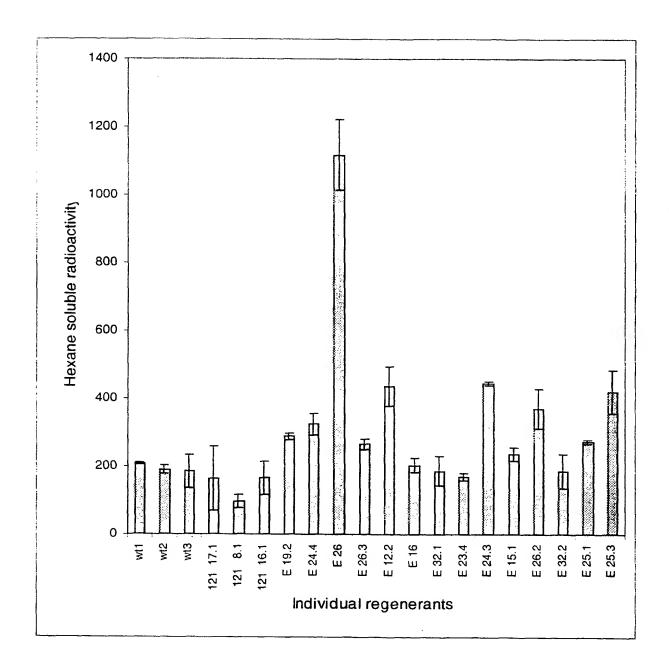


Figure 10 (c)

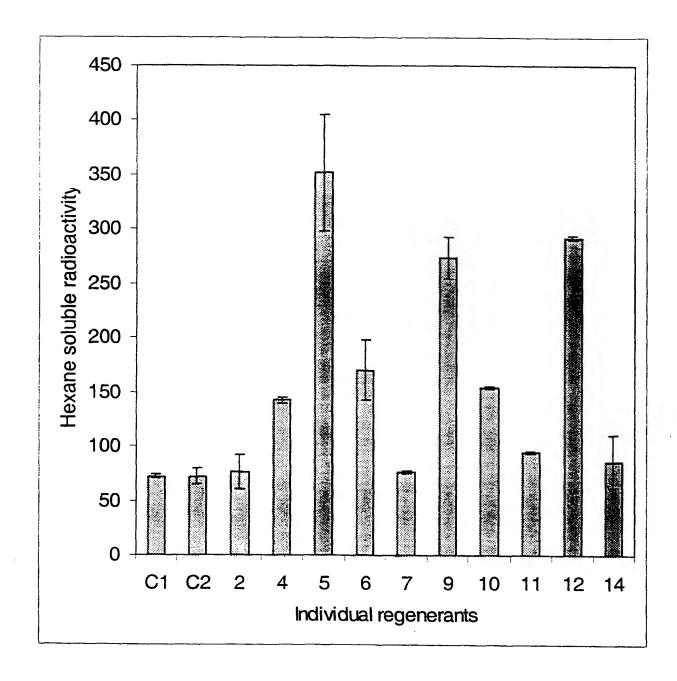
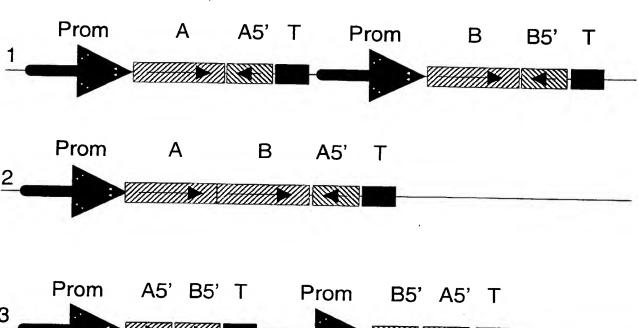
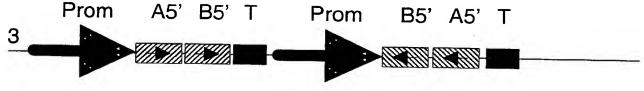


Figure 11





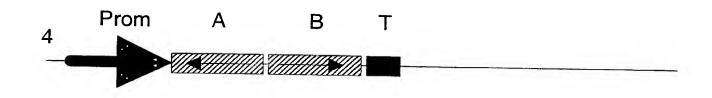
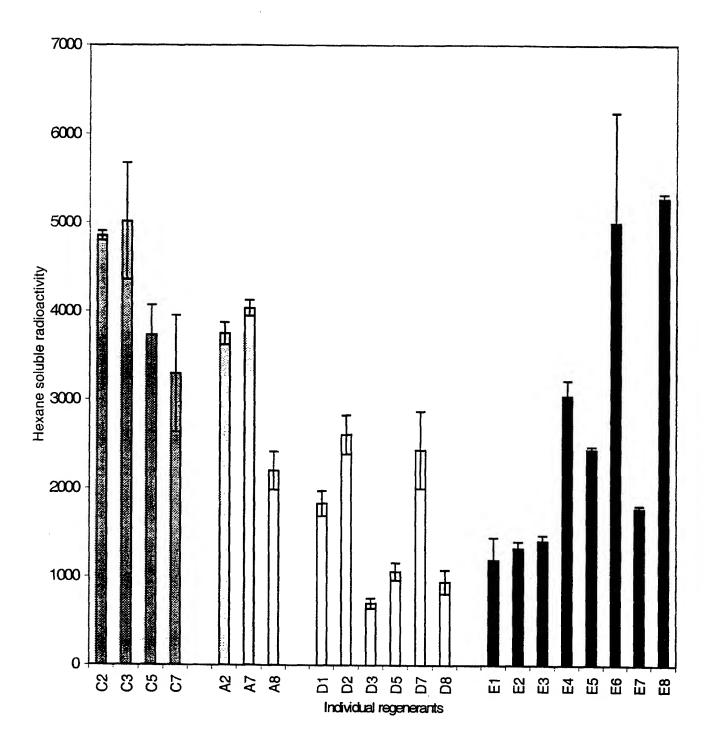


Figure 12



36 59	74	117	177	237	297 358	356 417	416
GTTTCATTAAAGATCATATATCCTTTCACCTTCAAGAAAT GTTTCATTAAAGATCATCCA-ATCCGAAAAATGGCTCTCGTTAGAAACACACAGCAGCAAT ** ** * * * * * * * * * * * * * * * *	GGCAGCAGTTGAAGCCA-ACGGTACCTTCCAAGCAAACA GGTCGGGAGCCAGTTCTCAGCCCCAGAAGTCTCACAAGTCCT-CGAGGCTTAACCAGCCC ** * * * * * * * * * * * * * * * * *		TTCTGTATGGGGTGATCGATTCTTGTCATTCTCTCTTTGACACTACGGAATTGGAAGGATA TTCGATCTGGGCTGATCGCTTCATCTCATTCTCTCTTGATAACTCTCAATTGGAAGCTTA *** * *** * **** *** *** *** * *******	TGCAAAAGCTATGGAGGAGCCAAAAGAAGAAGTGAGAAAACTGATCGTAGATCCAACAAT TGCAAATGCACTTGAAGAACCAAAAGAAGCAGTGAAGAGTTTAATAACCGACACTACCAT	GGATTCAAATAAGAAACTTTGATTTATTCTGTACACCGTCTTGGTTTGGTTTTGTCT TGATGCAAACACAAAACTGAAATTGATTTATTCAGTGCACCGTCTTGGTTTGTCGTATCT *** *** * **** * ***** * ***********	GT-TCTTGCAAGAGTTGAAGCGCAGCTTGACAAACTATTTAAAGAGTTTTAACTTGCAAG TTATCCAG-ATGAGATTGATGCCGAACTCAACAAACTCTTCGAGAAGATTGACTTACAGT * ** * * * * * * * * * * * * * * * * *	ATTATGATGAGTTTGATCTATACACAACTTCTATTAACTTTTCAAGTTTTTCAGACACCTTG ATTACGAACAAGTTGATTTGTACACTATTGCAGTACAATTTTCAAGTTTTTCAGACACCATG **** ** ** **************************
A B	A B	A B	A B	A B	A B	A B	A H

	Figure 13 (continued)	
A B	GTCACAAACTGCCATGTGTGTTTTAACAAATTCAAGGACAGTAGCTCGGGTACATTCA 4 GTTATAAAATTTCTTCTGATGTGTTTTAAAAAGTTCAAGGACAGTACTACGGGTACATTCA 5	476 537
A B	AGGAATCCATTACCAACGATGTGAAGGGTATGTTAGGCTTATATGAATCTGCACAATTGA 5 CGGATGATGTAACAAAAGACGTGAAGGGTATGCTGAGTTTTATACGAATCAGCACACTTAA 5 ***	536 597
A A	GATTAAGAGAGAACCCATTCTCGATGAAGCTTCCGCATTCACTGAAACTCAACTCAAGA 5 GGCTACACGGTGAAGATATCTTAGATGAAGCTTTAGCATTCACCGAAGCTCACCTTAAAA 6 * ** ** ** ** ** ** ** ** ** ** ** ** *	596 657
A W	GTGTAGTAAACACTCTCGAAGGCAATCTTGCAAAACAGGTGATGCAATCATTGAGGAGAC 6 AAATCCTAACCACACTTGAAGGAGATCTTGCACGCCAAGTGAACCAAGTCTTAAAAAAGAC 7 * *** *** *** *** ******************	656 717
A B	CATTCCATCAGGGGATGCCAATGGTTGAGGCAAGGATGTATTTCTCCAACTATGATGAAG 7 CCTTCCACACTGGAATGCCAATGGTAGAGGCACGGCTATATTTTATCACACACGAAGAAG 7 * ****	716 777
A B	AATGTTCCACACACGAGTCATTACCTAAGCTTGCAAAGCTTCATTTCAACTATTTGCAGC 7 ATTTTCAAGCCATGAGTCGGTTGTAAAGCTAGCTAAGTCCACTTCAACTATTTGCAAC 8 * * * * * * * * * * * * * * * * * * *	776 837
A B	TAČAACAAAAAGGAAGTTCGCATTGTCTCAAAGTGGTGGAAGGATATGAGGTTCCAGG 8 TACAACAAAAAGAAGAACTACGACTCGTGTCACAGTGGTGGAAAGATATGCAATTCCAAC 8 ************************************	836 897
A B	AAACTACTCCTTACATAAGGGATAGAGTACCAGAGATTTACTTATGGATATTGGGATTGT 8 AATCCGTCCCTTACATAAGAGATAGAGTACCGGAGATATACCTATGGATTTTGGGGTTAT 9 ** * * *****************************	896 957

Figure 13 (continued)

A B	ACTTTGAGCCTCGTTACTCCTTGGCACGAATCATCGCCACAAAATTACATTGTTCCTCG ATTTCGAGCCGTATTACTCTCGGGCACGTATCATAGCCACTAAAATCACGTTGTTCTTGG * ** **** **** ***** ***** ***** ***** **	956 1017
B	TGGTTTCTAGATGACACATATGATGCATACGCTACCATTGAAGAGATTCGACTTCTGACTG TGGTTTTGGACGATACATATGACGCGTATGCTACAATTGACGAGATCCGATCGAT	1016 1077
A B	ATGCCATAAACAGGTGGGACATCAGTGCTATGGAGCAAATTCCGGAATATATCGACCAT ATGCGATTAATAGGTGGGAAATTAGCGCGATCGACCAACTTCCTGAATATATCAAACCGT **** ** ** ******** ** ** ** ** ** ** *	1076
A B	TCTACAAAATTCTCCTAGATGAGTATGCTGAACTTGAGAAGCAACTAGCTAAGGAAGG	1136 1197
A B	GAGCAAAAAGTGTTATTGCTTCAAAAGAAGCGTTCCAGGACATTGCAAGAGGATACCTTG GAGCGTTCAGTGTCCACGCTTCAAAACAAGCGTTTTCAAGAAATCGCGAGAGGGTATCTTG ****	1196 1257
A M	AAGAGGCCGAGTGGACAAACAGTGGATACGTGGCATCATTTCCAGAGTATATGAAGAACG AAGAGGCGGAGTGGTTACACACGGTTATGTGGCAACATTTCCCGAGTATATGAAGAATG ****** ****** * *** ** ** ** ** *******	1256 1317
K M	GTTTAATTACTTCTGCTTACAATGTTATTTCAAAATCTGCTTTAGTGGGTATGGGCGAGA GTTTGATTACTTCGGCTTATAATGTCATTTCAAAATCCGCATTGGTGGGAATGGGTGCGA **** ********************************	1316 1377
A B	TGGTTGGTGAAGATGCCTTGGCTTGGTATGAAAGTCATCCAAAGACATTGCAAGCTTCAG TTGCAGATGAAGAGGCTCTTGCTTGGTATGAAACACATCCGAAAATTTTTGAAAGCTTCAG * * * * * * * * * * * * * * * * * * *	1376 1437

Figure 13 (continued)

1713 1797 1735 1857 1917	TTACTCTGTTGTTTGTTTGTTCTTCACCCATGTAATA TTACCCTTTTTGTTCGTTAGTCCTCCACCGAGTCTCGAAAACTGATAGGTTGTAATATAAG **** ** **** ** ** ** * * * * * * * *
1676 1737	ATGTGGTATACAGGTACGACGATGGGTTCACTTTTCCGGGAAAGACCCATGAAAGAGTATA ATGTCGTATACAAATTCGATGATGGATTCACCTTTTCCCGGGAAAACCCCTAAAAGACTATA **** ******************************
1616 1677	AGCCAAGAGAAGTCTCAATGGATTTGCTTGCCCCAATTCTTAATCTTGCACGAATGATAG AGCCCACTGAGGTCTCGGTGGCTCTACTAACTCCTATTTTGAATCTCGCGAGAATGATAG **** * ** ** ** ** * * * * * * * * * *
1556 1617	TTGACGAGCTAAACAAATGATTGAAAATGCATGGAAGGATATAAATGAGGGCTGCCTTA TCAAAGAGCTCATGAAGATGATTGAAAACGCATGGAAAGATATAAATGAGGGATGCTTGA * * * * * * * * * * * * * * * * * * *
1496 1557	AATCAGCCACCGGCGTTGATTCTTATATCAAGACCTATGGCGTAACAGAAAAGGAAGCGA AATCAGCAACTGGTGTGGATGCTTATATCAAGGAATACAATGTATCCGAAGAAGTAGCGA ****** ** ** ** ** ** ***************
1436 1497	AGTTAATTTCAAGACTCCAAGATGATGATGACTTACCAGTTTGAGCGAGAAAGGGGAC AGTTGATTTCAAGGCTCCAAGACGATGTTATGACTTTCCAGTTTGAGAGAAAACGAGAC **** ********************************

Figure 13 (continued)

BB

SUBSTITUTE SHEET (RULE 26)

Figure 14

MALVRNNSSNGREPVLSPRSLTSPRGLTSPRPLSVQPTPEPVRPLANFPPSIWADRFISF MAAVEANGTFQANTKTTEPVRPLANFPPSVWGDRFLSF ** *. *	38
SLDNSQLEAYANALEEPKEAVKSLITDTTIDANTKLKLIYSVHRLGLSYLYPDEIDAELN SLDTTELEGYAKAMEEPKEEVRKLIVDPTMDSNKKLSLIYSVHRLGLTYLFLQEIEAQLD ***::**:**:*:::**::::::::::::::::::::	120 98
KLFEKIDLQYYEQVDLYTIAVQFQVFRHHGYKISSDVFKKFKDSTTGTFTDDVTKDVKGM KLFKEFNLQDYDEFDLYTTSINFQVFRHLGHKLPCDVFNKFKDSSSGTFKESITNDVKGM ***::::** *:::*** :::*****************	180 158
LSLYESAHLRLHGEDILDEALAFTEAHLKKILTTLEGDLARQVNQVLKRPFHTGMPMVEA LGLYESAQLRLRGEPILDEASAFTETQLKSVVNTLEGNLAKQVMQSLRRPFHQGMPMVEA *.*****;******************************	240 218
RLYFITHEEDFSSHESVVKLAKVHFNYLQLQQKEELRLVSQWWKDMQFQQSVPYIRDRVP RMYFSNYDEECSTHESLPKLAKLHFNYLQLQQKEELRIVSKWWKDMRFQETTPYIRDRVP *:** ::*: *:*: *:***: ****************	300 278
EIYLWILGLYFEPYYSRARIIATKITLFLVVLDDTYDAYATIDEIRSITDAINRWEISAI EIYLWILGLYFEPRYSLARIIATKITLFLVVLDDTYDAYATIEEIRLLTDAINRWDISAM ************************************	360 338
DQLPEYIKPFYRILLNEYDDLEKEYSKDGRAFSVHASKQAFQEIARGYLEEAEWLHNGYV EQIPEYIRPFYKILLDEYAELEKQLAKEGRAKSVIASKEAFQDIARGYLEEAEWTNSGYV :*:***:***:***:***:***: :*:*** :*:******	420 398

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480	540 518	
THPKILKASELISRLQDDVM SHPKTLQASELISRLQDDVM **** *:*******************************	WKDINEGCLKPTEVSVALLT WKDINEGCLKPREVSMDLLA ***********	LEN 583 I 558
ATFPEYMKNGLITSAYNVISKSALVGMGAIADEEALAWYETHPKILKASELISRLQDDVM 480 ASFPEYMKNGLITSAYNVISKSALVGMGEMVGEDALAWYESHPKTLQASELISRLQDDVM 458 *:***********************************	TFQFERKRGQSATGVDAYIKEYNVSEEVAIKELMKMIENAWKDINEGCLKPTEVSVALLT 540 TYQFERERGQSATGVDSYIKTYGVTEKEAIDELNKMIENAWKDINEGCLKPREVSMDLLA 518 *;****;******************************	PILNLARMIDVVYKFDDGFTFPGKTLKDYITLLFVSPPPSLEN PILNLARMIDVVYRYDDGFTFPGKTMKEYITLLFVGSSPM
A B	A B	A B

INTERNATIONAL SEARCH REPORT

Int	Application No
PCT/EP	00/02130

A. CLASSII IPC 7	FICATION OF SUBJECT MATTER C12N15/82 C12N15/52 C12N9/10	O A01H5/00	
According to	o international Patent Classification (IPC) or to both national classific	eation and IPC	
B. FIELDS	SEARCHED		
Minimum do IPC 7	ocumentation searched (classification system followed by classification C12N A01H	ion symbols)	. :
Documental	tion searched other than minimum documentation to the extent that e	such documents are included in the fields se	parched
	eta base consulted during the international search (name of data baternal, WPI Data, PAJ, STRAND	ase and, where practical, search terms used)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.
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Y	SCIENCES OF THE USA, vol. 95, March 1998 (1998-03), p. 2216-2221, XP002112685 abstract; figures 2-5 page 2217 page 2219, paragraph 4 page 2220, paragraph 3 -page 222 paragraph 1		2-9
		-/	
X Furt	ther documents are listed in the continuation of box C.	Patent family members are listed	in annex.
"A" docume consider "E" earlier of filing of "L" docume which citatio	ategories of cited documents: ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date and which may throw doubts on priority claim(e) or is cited to establish the publication date of another or or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	"T" later document published after the inter- or priority date and not in conflict with cited to understand the principle or the invention. "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the document of particular relevance; the cannot be considered to involve an inventive step when the document is combined with one or m	the application but sory underlying the claimed invention to be considered to be cument is taken alone claimed invention inventive step when the
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category * Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
DE KRAKER, JAN-WILLEM ET AL: "(+)-Germacrene A biosynthesis: The committed step in the biosynthesis of bitter sesquiterpene lactones in chicory." PLANT PHYSIOLOGY (ROCKVILLE), (AUG., 1998) VOL. 117, NO. 4, PP. 1381-1392., XP002112686 the whole document	2-9
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